Chemical
SAFETY AND SECURITY TRAINING

Chemical Safety and Security Officer Training

Doha, Qatar
May 2011

SAND No. 2009-8395P
Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company,
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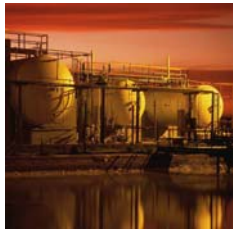
Sandia National Laboratories

Chemical Safety and Security Overview

Why worry about chemical safety?

- Chemicals used everyday in labs and factories can be hazardous.



Studies indicate lab chemists *may* have:

- Shorter life spans, more disease

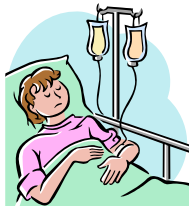
  Hoar, S. K. et al, *J. Occup. Med,*. 23, 485 (1981)

- Higher cancer incidence

  Dement J.M. & Cromer J.R., *Appl. Ocup. Environ. Hyg*., 7,120 (1992)

- Higher suicide rate (females)

  Walrath J. et al, *Amer. J. Pub. Health*, 35, 883 (1985)



Possible chemical health problems

Chemicals

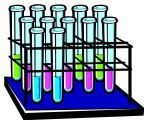
- Vinyl chloride
- Asbestos
- Carbon tetrachloride
- Mercury
- Lead
- Thalidomide
- Methanol
- CO, CS_2

Diseases

- Liver cancer
- Mesothelioma
- Hepatotoxin (jaundice)
- Neurotoxin, CNS, narcosis
- Reprotoxin, birth defects
- Reprotoxin, developmental defects
- Blindness, death
- Hematopoietic, hemoglobin, cynanosis

But disease depends on many factors…

- Genetics
- Specific chemical
- Protection controls used
- Dose
- Concentration
- Duration
- Life style
- Environment



University of California Santa Cruz: Fire

- **January 11, 2002: about 5:30 am, 4th floor of Sinsheimer Lab building, Dept. of Molecular, Cell and Developmental Biology.**
  - Firefighters responded to alert from heat-detection system in building.
  - Controlled by noon.
  - Up-to-date inventory of hazardous materials allowed firefighters to enter building and contain fire.
  - Building did not have automatic sprinkler system.

http://ehs.ucsc.edu/emergency/pubs/sinshfire2.htm

University of California Santa Cruz: Fire, cont'd.

- **Professors and students lost equipment, notes, materials, samples.**
- **Other labs in building closed for weeks to months.**
  - Water and smoke damage
- **Burned labs took 2 years to reopen.**
- **Cause never determined.**

Environmental hazards
California State Univ. Northridge: Earthquake

- Magnitude 6.7
- January 17, 1994 – 4:31 am
- 57 deaths, 11000 injuries

- Epicenter a few km from California State University Northridge campus

- Several fires in science buildings allowed to burn because firemen worried about chemical hazards

- Professors and students lost equipment, notes, materials, samples

Images courtesy: P.W. Weigand, California State University Northridge Geology Department, Image source: Earth Science World Image Bank http://www.earthscienceworld.org/images

Dartmouth College: Dimethylmercury poisoning

- Karen Wetterhahn, professor and founding director of Dartmouth's Toxic Metals Research Program
  - expert in the mechanisms of metal toxicity
- In 1996, spilled a few drops of dimethylmercury on her gloved hand
  - Cleaned up spill immediately
  - Latex glove believed protective
- Six months later, became ill and died of acute mercury poisoning at age 48

Bhopal: Pesticide plant chemical release

- One of the greatest chemical disasters in history, December 1984
- Union Carbide plant making Sevin released ~40 tonnes of methyl isocyanate in the middle of the night
- Low local demand for pesticides meant the plant was only partially running
- Some hardware was broken or turned off, including safety equipment
  - Safety measures and equipment far below US standards
- Plant in heavily populated area

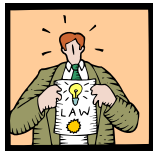
* "The Bhopal disaster and its aftermath: a review", Edward Broughton, *Environmental Health: A Global Access Science Source* 2005, **4**:6, http://www.ehjournal.net/content/4/1/6, accessed 12/07

Safety Video: Reactive Hazards

Taiwan: Silane fire

- Motech Industries solar cell plant in Tainan Industrial Park
  - 1 death
  - US $1.3 million damage
  - Silane / air explosion
    - Operator responded to gas-cabinet alarm
    - Explosion occurred when he opened gas-cabinet
    - Fire burned for 1 hour before being controlled
      - Caused other SiH_4 and NH_3 cylinders to empty
  - November 2005

Chemical accidents are now under stricter control and scrutiny



- Better individual country regulations
- Better international regulations
  - IATA
  - GHS
  - REACH
- Environmental problems after natural disasters
  - Earthquakes, cyclones, hurricanes, floods
- Increased public awareness
- Increased media coverage
- Less public tolerance

Why worry about chemical safety?

- Health of the workers
- Safety of the workers
- Safety of the community
- Safety of the environment

…It's the right thing to do!

Why worry about chemical security?

- Long history of people deliberately using chemicals to harm others.
- Information on how to acquire and deliver them is easy to get:

Aum Shinrikyo: Matsumoto and Tokyo, Japan

- **Sarin attack on Judges in Matsumoto, June 1994**
  - Sarin sprayed from truck at night
  - 7 deaths, 144 injuries
- **Sarin attack on Tokyo subway, March 1995**
  - 11 bags with 600 g each on 3 main subway lines
  - 12 deaths, 3938 injuries
- **Hydrogen cyanide attacks on Tokyo subway, May 1995**
  - Bags of NaCN and sulfuric acid
  - No deaths, 4 injuries

Photo of wanted poster from Wikipedia commons

Aum Shinrikyo: Tokyo, Japan

Aum Shinrikyo: Matsumoto and Tokyo, Japan, cont'd.

- **Recruited young scientists from top Japanese universities.**
- **Produced sarin, tabun, soman, VX.**
- **Purchased tons of chemicals through cult-owned companies.**
- **Motives: proof of religious prophecy, kill opponents, interfere with legal proceedings and police investigations.**

Chicago, Illinois, USA

- **March 2002, an anarchist (called himself "Dr. Chaos") was found at 2 am in a Univ. Illinois, Chicago, building carrying sodium cyanide**
- **Had chemicals in a storage room at the Chicago subway**
  - **included containers marked mercuric sulfate, sodium cyanide, potassium cyanide, and potassium chlorate**
  - **0.25 pound of potassium cyanide and 0.9 pound of sodium cyanide**
  - **stolen from an abandoned warehouse, owned by a Chicago-based chemical company**
    - **15 drums and 300 jars of various other laboratory chemicals were discovered there**

- **Sentenced to prison for "possessing a chemical weapon", as well as other charges (Interfering with power, air-traffic control systems, computer systems, broadcast systems and setting fires).**

http://cns.miis.edu/db/wmdt/incidents/1190.htm, accessed 12/07

Iraq

- **Many incidents in which chlorine gas cylinders are blown up with explosives**
  - Chlorine probably stolen/diverted from water purification plants or oil industry
  - Many civilians and non-combatants injured
- **Chlorine first used in WWI as a chemical weapon**

On March 23, 2007, police in Ramadi's Jazeera district seized a truck filled with "five 1000-gallon barrels filled with chlorine and more than two tons of explosives"

From http://www.longwarjournal.org/archives/2007/03/al_qaedas_chlorine_w.php downloaded Jan 2008.

Chemical Security

US Homeland Security Secretary Michael Chertoff told the American Chemistry Council, March 21, 2006:

"Now, the chemical sector certainly stands as one of the principal areas of infrastructure about which we have to be concerned. If you look back at the whole history of the way al Qaeda has conducted its operations, where possible, they have always tried to leverage our own technology against ourselves. They've turned jets, commercial jets, into weapons. They've tried to use our own chemicals and our own products as means of exploding devices against us. And obviously, one of the areas we have to be concerned about are parts of our infrastructure which house chemicals which could, if properly ignited, create a huge amount of havoc in a populated area – whether it be because of a large explosion or whether it's because of toxic inhalation..."

Why worry about chemical security?

- **Health and safety of people and environment**
- **Community relationships**
- **Reduce chance of accidental chemical release**
- **Avoid loss and damage to labs and equipment**
- **Prevent criminals and terrorists from getting dangerous chemicals**
  - Wide variety of chemicals have been used
  - Wide variety of motivations for actions
- **A deliberate attack on a chemical facility could release a large amount of hazardous chemicals**
  - Injure or kill people in nearby areas
  - Eliminate jobs and economic assets

Safety and Security Issues are similar

Variables

- **Many different chemicals with:**
  - different properties
  - different hazard
  - different applications
- **Many different ways to misuse chemicals**
  - chemical weapons
  - poisons

Protect

- **Workers**
- **Facility**
- **Community**
- **Environment**

Government regulations: Chemical security

- **Differ from country to country**
- **Legislation needed to fulfill requirements under the Chemical Weapons Convention**
  - Each country passes appropriate laws
  - Each country must declare and track certain chemicals
- **UN Resolution 1540**
- **Other export control legislation**

Important Questions:

How does your country regulate and control chemical safety and security?

…Is it effective?

…Could it be improved?

…How?

Historical Perspective of Chemical Safety and Security

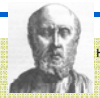
Chemical Safety History

Persons, Events and U.S. Regulation

http://www.osha.gov/ http://www.epa.gov/ http://www.csb.gov/

Chemical Safety History: Persons

Early Observers


Hippocrates

400 BC **HIPPOCRATES**
- FATHER OF MEDICINE
- FIRST TO WRITE OF OCCUPATIONAL DISEASE
  - LEAD POISONING IN SLAVES

Pliny the Elder

23-79 AD **PLINY THE ELDER**
- USE OF ANIMAL BLADDERS AS MASKS
  - FOR METALWORKERS

Agricola

Paracelsus

1494 **GEORGIUS AGRICOLA**
- ADVOCATED VENTILATION & MASKS
  - FOR MINERS & SMELTER WORKERS

1529-37 **PARACELSIUS**
- FATHER OF TOXICOLOGY
  - FIRST BOOK ON OCCUPATIONAL DISEASE (1533: miners' disease)
  - "DOSE MAKES THE POISON" (paraphrased from "All things are poison and nothing is without poison, only the dose permits something not to be poisonous")

Chemical Safety History: Persons

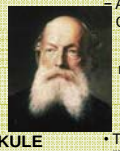
Later Observers

Ramazzini

1713 **BERNADINO RAMAZZINI**
- FATHER OF OCCUPATIONAL MEDICINE
  - DESCRIBED PATHOLOGY OF SILICOSIS

1775 **PERCIVAL POTT**
- PHYSICIAN
  - SHOWED FIRST OCCUPATIONAL LINK TO CANCER
  - AS ENVIRONMENTAL CARCINOGEN
  - Chimney sweeps & scrotal cancer

Pott


Kekulé

Hamilton

1890 **AUGUST KEKULE**
- THEORETICAL CHEMIST (benzene structure)
  - "IF YOU WANT TO BECOME A CHEMIST… *YOU HAVE TO RUIN YOUR HEALTH*. WHO DOES NOT RUIN HIS HEALTH BY HIS STUDIES, NOWADAYS WILL NOT GET ANYWHERE IN CHEMISTRY."

1910-50 **ALICE HAMILTON**
- US MOTHER OF OCCUPATIONAL MEDICINE
  - DESCRIBED LEAD POISONING, AND
  - PHOSSY JAW
    - » in match workers, from white/yellow phosphorus

Chemical Safety History: Events & US Regulation

child mill worker

1833-1901 **British Factories Acts (BFA)**
- BASIS OF EARLY US REGULATIONS
  - CHILD AND WOMEN LABOR LAWS
  - VENTILATION REQUIRED:
    - "to render harmless any gases, dusts… that may be damaging to health…."
  - APPOINTMENT OF INSPECTORS

mine rescue

1907 **US Bureau of Mines created**
- 3,200 KILLED IN U.S. MINING ACCIDENTS (1907)

1908 **Federal Employer's Liability Act (FELA)**
- IN RESPONSE TO HIGH NUMBER OF RAILROAD WORKER DEATHS IN LATE 1800s
- BOTH FCA and BFA LEGISLATED COMPENSATION TO INDUSTRIAL ACCIDENT VICTIMS

fatal rail accident

Chemical Safety History: Events & US Regulation

Triangle Fire protest

1911 **Triangle Shirtwaist Fire**
New York City, USA
- 146 GARMENT WORKER DEATHS
  - RAISED AWARENESS OF SWEATSHOPS AND CHILD LABOR CONDITIONS
  - LED TO WORKERS' COMPENSATION LAWS

1912 **Sinking of the Titanic**
- LED TO ADOPTION OF **S-O-S** AS INTERNATIONAL DISTRESS CALL

Titanic sinking

1919 **Molasses Disaster**
Boston, MA, USA
- KILLED >20; INURED >150 PERSONS
  - EXPLOSION FROM PRESSURE BUILD-UP IN STORAGE TANK

Molasses damage

Chemical Safety History: Events & US Regulation

Hawk's Nest Tunnel

1936 **Gauley Bridge**
Fayette County, WV; USA

- ~800 WORKERS DIED FROM ACUTE SILICOSIS
  - FROM BUILDING THE 4 MILE HAWKS NEST TUNNEL
  - LESSONS ***NOT*** LEARNED:
    - » NO PRECAUTIONS WERE TAKEN ALTHOUGH HEALTH EFFECTS OF SILICA WERE KNOWN

1936 **Walsh-Healy Act**

- FOR US FEDERAL CONTRACTS OVER $10,000
  - "NO PART OF SUCH CONTRACT WILL BE PERFORMD… IN ANY PLANTS, FACTYORIES, BUILDINGS, OR SURROUNDINGS OR *UNDER WORKING CONDITIONS WHICH ARE HAZARDOUS, UNSANITARY, OR DANGEROUS TO THE HEALTH AND SAFETY OF EMPLOYESS* ENGAGED IN THE PERORMANCE OF SAID CONTRACT."
  - START OF U.S. OCCUPATIONAL SAFETY & HEALTH ACT (OSHA)

WHA Poster

Chemical Safety History: Events & US Regulation

Elixir Sulfanilamide

1937 Elixir Sulfanilamide

- STREPTOCOCCAL DRUG CAUSED >100 DEATHS IN 15 STATES
  - LIQUID FORM OF SULFANILAMIDE WITH DIETHYLENE GLYCOL SOLVENT
  - HASTENED ENACTMENT OF THE FOOD, DRUG, AND COSMETIC ACT
    - » SAVED U.S. FROM THE THALIDOMIDE DISASTER 25 YEARS LATER

1942 **Cocoanut Grove Fire**
Boston, MA; USA

- KILLED 492 PERSONS IN A NIGHTCLUB
  - POOR EGRESS (ONE REVOLVING DOOR ENTRY, INWARD-SWINGING DOORS, LOCKED DOORS)
  - HIGHLY FLAMMABLE MATERIALS
- REFORM OF FIRE CODES AND SAFETY STANDARDS IN U.S.
- LESSONS ***NOT*** LEARNED:
  - 1903 IROQUOIS THEATER FIRE IN CHICAGO
  - KILLED >600 PERSONS

Cocoanut Grove Fire

Chemical Safety History: Events & US Regulation

Seveso area contamination

1970 **Occupational Safety and Health Act (OSHA)**

- MAIN GOAL: "SEND EVERY WORKER HOME, WHOLE & HEALTHY EVERY DAY"

1976 **TCDD (dioxin) exposure**
Seveso, Italy

- RECOGNITION OF DIOXIN COMPOUND PROPERTIES
  - PERSISTENCE AND ACCUMULATION IN FATTY TISSUE

1976 **Toxic Substances Control Act (TSCA, EPA)**

- ADDRESSES THE PRODUCTION, IMPORT, USE AND DISPOSAL OF SPECIFIC CHEMICALS INCLUDING PCBs, ASBESTOS, RADON AND LEAD-BASED PAINT.

1976 **Resources Conservation and Control Act (RCRA, EPA)**

- THREE MAIN PROGRAMS:
  - UNDERGROUND STORAGE TANKS
  - SOLID WASTE
  - HAZARDOUS WASTE

TSCA Lead-Safe label

Hazardous Waste Resource Locator

Chemical Safety History: Events & US Regulation

Industrial discharge to river

ACS-CH&S

Love Canal waste

1977 **Clean Water Act (CWA, EPA)**
1977 **American Chemical Society (ACS) founds Division of Chemical Health and Safety**
1978 **Love Canal toxic dumping**
Niagara Falls, NY; USA

- 950 FAMILIES EVACUATED
- LED TO CERCLA

1978 **Ward Transformer dumping**
North Carolina; USA

- IMPROPER DUMPING OF PCBs
- PERSISTENT CONTAMINATION

1979 **Valley of the Drums**
near Louisville, KY; USA

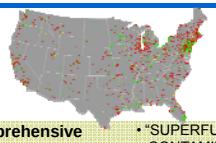
- EMERGENCY CLEANUP STARTED
- 23-ACRE TOXIC WASTE SITE
- CAUGHT FIRE AND BURNED FOR > A WEEK IN 1966
- LED TO CERCLA
- CLEANUP FOR >7 YEARS

Valley of Drums

Ward Transformer Site

Chemical Safety History: Events & US Regulation

Superfund Sites (March 2010)



Tylenol removal

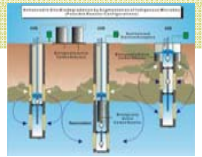
1980	**Comprehensive Environmental Response, Compensation & Liability Act (CERCLA, EPA)**	• "SUPERFUND" TO CLEAN UP SITES CONTAMINATED WITH HAZARDOUS SUBSTANCES • CREATED THE AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY (ATSDR)
1982	**Tylenol tampering –** Chicago, IL; USA	• CRIMINAL ACT OF LACING TYLENOL WITH CYANIDE – 7 PERSONS KILLED – RESULTED IN TAMPER-PROOF PACKAGING
1983	**Dioxin contamination** Times Beach, MO; USA	• LARGEST CIVILIAN EXPOSURE TO DIOXINS IN U.S. – TOXIC WASTE MIXED WITH OIL – USED TO COAT RURAL TOWN ROADS – SOIL & WATER CONTAMINATED WITH DIOXIN & PCBs – TOWN FLOODED IN1982, SPREADING CONTAMINATION – ILLNESSES, MISCARRIAGES & ANIMAL DEATHS • TOWN FULLY EVACUATED 1982-85 – FULLY DEMOLISHED BY 1992

Times Beach dioxin

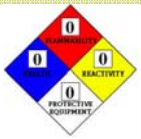
Chemical Safety History: Events & US Regulation

Bhopal 25-yr vigil

1984	**Bhopal accident** Bhopal, India	
1985	**Hazard Communication Standard**	• EMPLOYERS TO NOTIFY, TRAIN AND INFORM WORKERS ABOUT POTENTIALLY HAZARDOUS CHEMICALS AND PROVIDE MSDS
1986	**Superfund Amendments and Reauthorization Act (SARA, EPA)**	• STRESSED PERMANENT REMEDIES AND NEW TREATMENT TECHNOLOGIES • REVISED HAZARD RANKING SYSTEM (HRS) TO ACCURATELY ASSESS RISKS TO HUMAN HEALTH AND THE ENVIRONMENT FROM UNCONTROLLED HAZARDOUS WASTE SITES



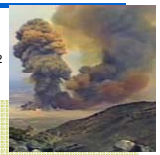
Bioremediation system



MSDS/NFPA Hazard Ratings

Chemical Safety History: Events & US Regulation

PEPCON explosion #2



1988	**PEPCON disaster –** Henderson, NV; USA	• WELDING TORCH TO FIBERGLASS TO HDPE STORAGE DRUMS • AMMONIUM PERCHLORATE EXPLOSIONS – 7 EXPLOSIONS & NATURAL GAS LINE BENEATH FACILITY – 2 DEATHS, 372 INJURED – BLAST DAMAGE >10 MILES FROM EXPLOSIONS – DISASTER PLANS ACTIVATED
1990	**Occupational Exposure to Hazardous Chemicals in Laboratories** (Lab Standard, OSHA)	• REQUIRES CHEMICAL HYGIENE PLANS – "WRITTEN PROGRAM STATING THE POLICIES, PROCEDURES, AND RESPONSIBILITIES THAT SERVE TO PROTECT EMPLOYESS FROM THE HEALTH HAZARDS ASSOCIATED WITH THE HAZARDOUS CHEMICALS USED IN THAT PARTICULAR WORKPLACE"

OSHA Lab Standard

Chemical Safety History: Events & US Regulation

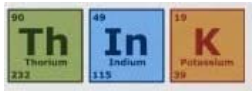
CSB Inspectors

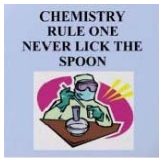
1990	**Clean Air Act Amendments**	• ESTABLISHED CHEMICAL SAFETY BOARD – "TO INVESTIGATE ACCIDENTS TO DETERMINE THE CONDITIONS AND CIRCUMSTANCES WHICH LED UP TO THE EVENT AND TO IDENTIFY THE CAUSE OR CAUSES SO THAT SIMILAR EVENTS MIGHT BE PREVENTED"
1991	**Imperial Foods fire** Hamlet, NC; USA	• 25 workers killed – doors locked, no egress – owner jail sentence of 20 years
2003	**House Appropriations Subcommittee on workforce protections**	• TESTIMONY: "FROM 1972 TO 2001, THERE HAVE BEEN AT LEAST 200,000 ON-THE-JOB DEATHS, 151 REFERRALS FOR CRIMINAL INVESTIGATION, AND 8 CASES RESULTING IN JAIL TIME."

Imperial Foods door

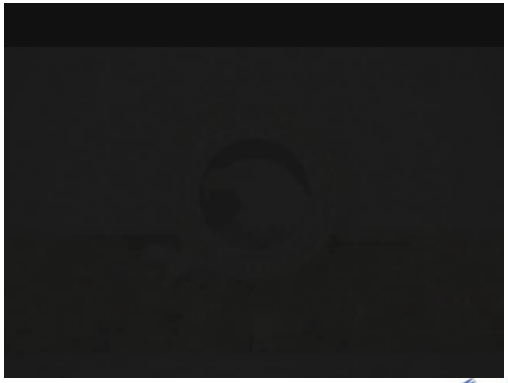
Conclusions

- Chemical safety regulation in US <u>is young</u>…
  - Early 20th century:
    - earliest few safety measures
    - only industry-specific
  - 1936 Walsh-Healy
    - broader safety measures
    - federal employees only, large contracts
  - 1970s-80s; OSHA, RCRA, CWA, CERCLA, SARA, MSDS,
    - all workers
    - environmental protection
  - 1990; OSHA Lab Standard
    - first safety regulation specific to labs
- There is room for improvement!
  - opportunities for emerging programs





There is room for improvement!



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- child mill worker http://www.bbc.co.uk/history/familyhistory/bloodlines/workinglife.shtml?entry=factory_health_morals&theme=workinglife
- mine rescue http://einhornpress.com/FairmontCoal.aspx
- fatal rail accident http://upload.wikimedia.org/wikipedia/en/5/56/Spencerport_train_accident_-_1917.jpg
- Triangle Fire protest ttp://pqgibbons.blogspot.com/2009/11/triangle-fire-of-1911.html
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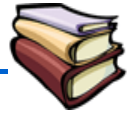
- Cocoanut Grove Fire http://www.boston.com/photo_gallery/ri_fire/c2.htm
- Seveso area contamination http://www.unu.edu/unupress/unupbooks/uu21le/uu21le09.htm
- Hazardous Waste Resource Locator http://www.envcap.org/hwrl/
- TSCA Lead-Safe label http://n8jackson.com/aboutus.aspx
- Industrial discharge to river http://www.cleanupamericaofny.com/clean_water_act.html
- ACS-CH&S http://www.dchas.org/
- Love Canal waste http://confluenceculture.wordpress.com/2009/09/30/superfund-365/
- Ward Transformer Site http://www.cci-env.com/remediation_projects.htm
- Valley of Drums http://en.wikipedia.org/wiki/File:Valleyofdrums.jpg
- Superfund Sites (March 2010) http://en.wikipedia.org/wiki/File:Superfund_sites.svg
- Tylenol removal http://www.time.com/time/nation/article/0,8599,1878063,00.html
- Times Beach dioxin http://www.asmalldoseof.org/historyoftox/1970-2006.htox.php
- Bhopal 25-yr vigil http://beta.thehindu.com/opinion/columns/sainath/article456495.ece?homepage=true
- Bioremediation system http://www.iegtechnologies.co.uk/knowledge/groundwatercirculation.shtml
- MSDS/NFPA Hazard Ratings http://skyproducts.com/msds.html
- PEPCON explosion #2 http://ahistoryofmayhem.com/?cat=11
- OSHA Lab Standard http://www.osha.gov/OshDoc/data_General_Facts/hazardouschemicalsinlabs-factsheet.pdf
- CSB Inspectors http://pubs.acs.org/cen/news/87/i11/8711news6.html
- Imperial Foods door http://http://endeavors.unc.edu/fall2005/naumoff.php
- Think http://www.zazzle.co.uk/think_button-145707175986558633
- Rule Number One http://www.zazzle.co.uk/chemistry_never_lick_the_spoon_button-145066861348186422

BREAK

Fundamentals of

Chemical Laboratory Safety

References



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"Prudent Practices in the Laboratory: Handling and Disposal of Chemicals," National Academy Press, 1995, also available online:
http://www.nap.edu/catalog.php?record_id=4911

Definitions

Chemical Laboratory Safety

– ***The control of exposure to potentially hazardous substances to attain an acceptably low risk of exposure***

Chemical Laboratory Safety

Hazard – ***the potential to harm***

Risk – ***the probability that harm will result***

Chemical Laboratory Hazards

- **Chemical hazards**
  - dusts, fumes, mists, vapors, gases
- **Physical hazards**
  - fire, electrical, radiation, pressure vibration, temperatures, noise
- **Ergonomic hazards**
  - repetitive motion (pipetting), lifting, work areas (computers, instruments)
- **Biological hazards**
  - pathogens, blood or body fluids

Chemical Laboratory Safety

based on the principle of

Industrial Hygiene

– *The **anticipation, recognition, evaluation** and **control** of health hazards in the work environment to protect workers health and well-being and to safeguard the community and the environment*

Chemical Laboratory Safety

Industrial Hygiene Principles

Anticipation	**Chemical hazards**
Recognition	**Physical hazards**
Evaluation	**Ergonomic hazards**
Control	**Biological hazards**

Anticipation

Safety First !

To consider safety in the beginning is:

Easier,

Cheaper,

Safer,

… and it saves you time !

Anticipation

Advance Experiment Planning:

Outline proposed experiment

Acquire safety information (M)SDS, REACH

Consult with CSSO?

Anticipation

Risk Analysis

- Which chemicals?
- How much?
- Special equipment needed?
- Who does the work?
- Staff properly trained?
- Can the experiment go wrong?
- Do you have an emergency plan?

Recognition

Types of lab hazards:

chemical toxicity

fire / explosion

physical hazards

biohazards

radiation

special substances

Recognition & Evaluation

What are the anticipated risks?

- Are the equipment & facilities adequate?
- Are staff properly and sufficiently trained?
- Risks if experiment goes wrong?
- Is there a plan for this?

Control

How are the risks controlled?

- **Engineering controls:**
  - enclosure / isolation
  - ventilation / hoods
- **Emergency Plan**
- **Personal Protective Equipment (PPE)**

Recognition of Chemistry Laboratory Hazards

Chemical Toxicity

Acute (short term, poisons, asthmagens)

cyanide

strychnine

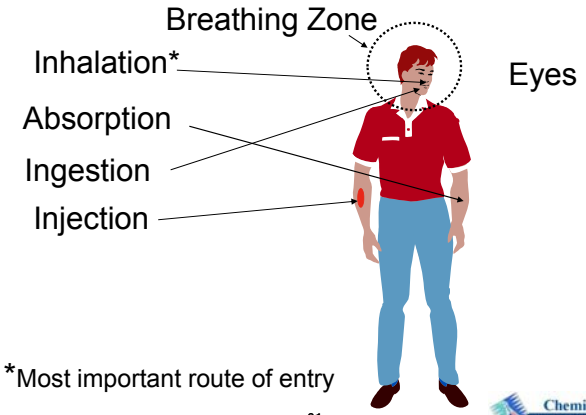
Chronic (long term, carcinogens, reproductive)

vinyl chloride (liver cancer)

asbestos (mesothelioma, lung cancer)

thalidomide (developmental birth defects)

Routes of Exposure



*Most important route of entry

Fire and Explosion Hazards

Physical and Ergonomic Hazards

- **Moving unguarded parts, pinches vacuum pump belts**
- **Broken glassware and sharps, cuts**
- **Pressure apparatus**
- **Vacuum containers**
- **Dewar flasks**
- **High voltage equipment**
- **Computer workstations**
- **Slips, trips & falls**

Biohazards

- ❑ **Blood borne pathogens**
  AIDS, HIV, hepatitis, clinical chemistry labs

- ❑ **Recombinant DNA**
  Genetic engineering, cloning
- ❑ **Work with animals**
  Zoonoses,
  diseases from animals

Radiation Hazards

Ionizing Radiation:
alpha α, beta β, gamma γ,
X-rays, neutrons

Radioactive isotopes:
tritium, H-3, carbon, C-14, sulfur, S-35,phosphorus, P-32/33, iodine, I-135

Radiation Hazards

Non-Ionizing Radiation:

Ultraviolet (UV spectrometers)
Magnetic (NMR, MRI)
Microwave
(Heart pacemaker hazard)
Lasers
(eye protection required)

Special Chemical Substances

Controlled Substances:
regulated drugs, psychotropic (hallucinogenic) substances, heroin

Highly Toxic Chemicals:
nerve gas, phosgene, riot control agents, chemical warfare agents

Evaluation & Control

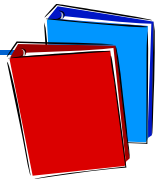
– **Administrative practices**
organizational policies

– **Operational practices**
work practices

– **Engineering controls**
ventilation, barriers

Administrative Practices

Lab Safety Policies



❖ **Have a Safety Manual**

- Never work alone, especially after hours.
- Specify when eye protection & PPE is required.
- Specify operations that require hood use.
- Specify required training.
- No mouth pipetting.
- No long hair or dangling attire.

Lab Safety Policies

- **No eating, drinking, smoking in laboratories**
- **Label all chemical containers**
- **Label refrigerators, No Food**
- **Label explosion safe refrigerators**
- **Require periodic fire drills**

Operational Practices

Safe Laboratory Procedures:

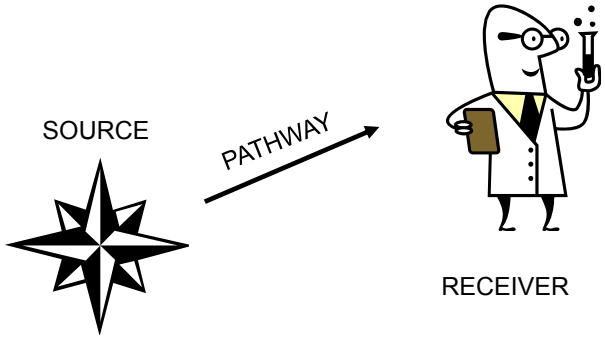
- **Packages opened only in labs, not receiving**
- **Receiving staff trained to look for signs of breakage and/or leaking shipments**
- **Receiving area has spill kits**
- **Mailroom/receiving alert for suspicious shipments**

Safe Laboratory Procedures

Use hoods properly:

- Work 6” (15 cm) in from sash
- In center of hood
- Work with hood sash at ~18” (45 cm) high
- Close sash when not in use
- Don’t use for storage

Engineering Controls



Engineering Controls

1. Change the process

eliminate the hazard

2. Substitution

non-hazardous substance for hazardous

(e.g. - toluene for benzene)

Engineering Controls

3. Isolate or enclose the process or worker

Use a barrier

4. Ventilation

Dilution (general ventilation) - Not good

Local exhaust ventilation (LEV) - Preferred

Engineering Controls

Properly functioning & used correctly!
Laboratory hoods and ventilation are the basis of engineering controls.

Laboratory Hoods

Must be used and maintained properly.

Engineering Controls

Local exhaust ventilation includes:

snorkels

Engineering Controls

Local exhaust ventilation includes:
vented enclosures

Engineering Controls

Local exhaust includes:

special containment devices

(e.g. - glove boxes)

Engineering Controls

Local exhaust includes:

special containment devices

(e.g. - isolation chambers)

Engineering Controls

Hood exhaust should not be blocked or deflected downward, but should exhaust straight up

Personal Protective Equipment

PPE includes:

eye protection,
gloves,
laboratory coats. etc.,
respirators,
appropriate foot protection

Emergency Planning & Response

- Have routine, unannounced evacuation drills.
- Designate a person for each area to ensure that inner rooms are evacuated.
- Locate outside staging areas at sufficient distance from the building.
- Test and maintain alarms.
- Post a person to meet/direct emergency vehicles.

Emergency Planning & Response

Post each room with:

Emergency phone numbers
After hour phone numbers
Person(s) to be contacted
Alternate person(s)
Unique procedures to be followed

Any Questions?

Aspects of Chemical Security

Dual-use Chemicals

Chemical dual-use awareness

Dual use chemicals: Chemicals used in industry or everyday life that can also be used in bad ways.

Dual-use chemical example: Pseudoephedrine

- Pseudoephedrine is a common ingredient in cold medicines
- Precursor to crystal methamphetamine
- Recipes for conversion available on web

Illicit Methamphetamine Laboratory

- Clandestine meth labs in US during 2002
  - Caused 194 fires, 117 explosions, and 22 deaths
  - Cost $23.8 million for cleanup
  - Dumped chemicals led to
    - deaths of livestock
    - contaminated streams
    - large areas of dead trees and vegetation

US DEA, http://www.deadiversion.usdoj.gov/pubs/brochures/pseudo/pseudo_trifold.htm, viewed Dec 2007

Dual-use chemical example: Cyanide

Therence Koh/AFP/Getty Images

- Widely used in mining and metal plating industries, but is also a well known poison.
- Product tampering*
  - Tylenol capsules
    - laced with KCN
    - 7 deaths, fall 1982, Chicago, Illinois, USA
    - Led to tamper-proof product packaging
- Popular with criminals and terrorists because it is relatively easy to obtain
- HCN is CW agent AC

* "Tylenol Crisis of 1982." *Wikipedia, The Free Encyclopedia*. 22 Nov 2007, 06:04 UTC. Wikimedia Foundation, Inc. 28 Nov 2007 <http://en.wikipedia.org/w/index.php?title=Tylenol_Crisis_of_1982&oldid=173056508>.

Dual-use chemical example: Pesticides

- Widely used in homes and agriculture, but also used to poison people.

FIGURE. Package of Chinese rodenticide implicated in the poisoning of a female infant aged 13 months — New York City, 2002

Photo/New York City Poison Control Center

- Dushuqiang (Strong Rat Poison)
  - Outlawed in China in the mid-1980s, but was still available
  - Nanjing, China, Sept. 2002
    - 38 people killed by poison in snack-shop food, >300 sick
    - Jealously by rival shop owner
  - Hunan, China, Sept. 2003
    - 241 people poisoned by cakes served by school cafeteria
    - Motive and perpetrator unknown
  - Tongchuan City, Shaanxi, China, April 2004
    - 74 people poisoned by scallion pancakes
    - Motive and perpetrator unknown
  - 5 other incidents reported between 1991 and 2004

Ann. Emerg. Med., Vol. 45, pg. 609, June 2005

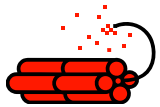
Many lab/industrial chemicals have dual uses

- Dimethyl methyl phosphonate (DMMP)
  - Flame retardant for:
    - building materials, furnishings, transportation equipment, electrical industry, upholstery
  - Nerve agent precursor
- Thiodiglycol
  - Dye carrier, ink solvent, lubricant, cosmetics, anti-arthritic drugs, plastics, stabilizers, antioxidants, photographic, copying, antistatic agent, epoxides, coatings, metal plating
  - Mustard gas precursor
- Arsenic Trichloride
  - Catalyst in CFC manufacture, semiconductor precursor, intermediate for pharmaceuticals, insecticides
  - Lewisite precursor

From: Chemical Weapons Convention: Implementation Assistance Programme Manual (on CD)

Dual-use Chemicals: Explosives

- Theft of conventional explosives
  - Chemical suppliers
  - Users such as mines or construction sites
- Diversion of industrial or laboratory chemicals
  - Chemical suppliers
  - Chemical factories
  - Academic teaching or research laboratories
  - Disposal sites



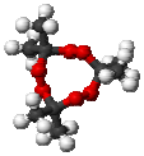
Theft / manufacture of explosives: Fertilizer Bomb

Photo: US DOD

- Ammonium nitrate fertilizer and fuel oil (diesel, kerosene)
- Used to bomb Alfred P. Murrah building in Oklahoma City, OK, USA
  - with nitromethane and commercial explosives
  - 168 dead, including children
  - April 1995
- Favored by IRA, FARC, ETA, etc.

Theft / manufacture of explosives: TATP

- Triacetone triperoxide (TATP)
- Invisible to detectors looking for N-based explosives
- Made using acetone, hydrogen peroxide, strong acid (HCl, sulfuric)
- Favored by terrorists "Mother of Satan"
  - Sept 2009 arrest of N. Zazi, NY and Denver
  - July 2005 London suicide bombs
  - 2001 Richard Reid "shoe bomber"
  - 1997 New York subway suicide bomb plot



CAS 17088-37-8

Wikipedia downloaded Oct 2009
http://en.wikipedia.org/wiki/Acetone_peroxide

Diversion of industrial / laboratory chemicals: Sodium azide

- **Widely available from older automobile airbags**
  - **1980s to 1990s**
- **Poisonous**
- **Reacts explosively with metals**
  - **Biological laboratory drains have exploded from discarded waste solutions containing NaN_3 as a preservative.**
- **Has been found in possession of terrorists**

Diversion of industrial / laboratory chemicals: Bali bombing

- Amrozi purchased chemicals used to make bombs
- One ton of potassium chlorate* purchased in three transactions from the Toko Tidar Kimia fertilizer and industrial chemicals store in Jalan Tidar, Surabaya, owned by Sylvester Tendean.
  - Claimed he was a chemical salesman.
  - Obtained a false receipt saying he purchased sodium benzoate.
  - Tendean lacked proper permit to sell this chemical, didn't know the chemical would be used to make a bomb.
- Details of Aluminum powder purchases not known

* Some press reports state potassium choride, but this is clearly an error

http://www.smh.com.au/articles/2003/06/09/1055010930128.html

http://www.thejakartapost.com/news/2002/12/18/amrozi-owns-possessing-chemicals.html

Diversion of industrial / laboratory chemicals: Quote from the "Terrorists Handbook"

2.1 ACQUIRING CHEMICALS

The first section deals with getting chemicals legally. This section deals with "procuring" them. The best place to steal chemicals is a college. Many state schools have all of their chemicals out on the shelves in the labs, and more in their chemical stockrooms. Evening is the best time to enter lab buildings, as there are the least number of people in the buildings, and most of the labs will still be unlocked. One simply takes a bookbag, wears a dress shirt and jeans, and tries to resemble a college freshman. If anyone asks what such a person is doing, the thief can simply say that he is looking for the polymer chemistry lab, or some other chemistry-related department other than the one they are in.

9.0 CHECKLIST FOR RAIDS ON LABS

http://www.totse.com/en/bad_ideas/irresponsible_activities/168593.html, downloaded Nov. 2007

Group Discussion

- **What chemicals are of most concern for diversion?**
  - **Common laboratory/industrial chemicals that would be targeted by someone for illegal reasons such as making explosives, illegal drugs, or chemical weapons.**

International Chemical Controls

International chemical control groups

ORGANISATION FOR THE PROHIBITION OF CHEMICAL WEAPONS

Chemical weapons convention

The Australia Group

Export controls

UN Security Council Resolution 1540

Organization for the prohibition of chemical weapons (OPCW)

- International group headquartered in The Hague, Netherlands
  - https://www.opcw.org/index.html
- Chemical weapons convention (CWC)
  - International treaty which bans the development, production, stockpiling, transfer and use of chemical weapons
- Promotes international cooperation in peaceful uses of chemistry
- Protecting each other

Chemical Weapons Convention (CWC)

- International treaty which bans the development, production, stockpiling, transfer and use of chemical weapons
  - Entered into force in April 1997 with 87 State Parties participating
  - Today: 183 nations have joined, 5 others have signed, only 7 have not taken any action.
    - Each nation enacts appropriate laws
    - Each nation agrees to assist other Member States

CWC: Destroy existing stockpiles and facilities

- Twelve States parties have declared CW production facilities.
  - Bosnia and Herzegovina
  - China
  - France
  - India
  - Islamic Republic of Iran
  - Japan
  - Libyan Arab Jamahiriya
  - Russian Federation
  - Serbia
  - United Kingdom of Great Britain and Northern Ireland
  - United States of America
  - another State Party
- As of August 2007, 42 of 65 declared CW production facilities have been certified as destroyed, 19 converted to peaceful purposes.
- As of August 2007, 23,912 metric tonnes of CW agent has been destroyed out of 71,330 metric tonnes declared.
- On 11 July 2007, the OPCW confirmed the destruction of the entire chemical weapons stockpile in Albania.
- Includes old and abandoned CW munitions

CWC: Prevent spread or production of new chemical weapons

- States declare and agree to inspections of many other chemical facilities, depending on chemical type and amount produced
- Over 3,000 inspections have taken place at 200 chemical weapon-related and over 850 industrial sites on the territory of 79 States Parties since April 1997
- Worldwide, over 5,000 industrial facilities are liable to inspection

CWC: Chemicals on schedules subject to verification measures

- Schedule 1:
  - Known CW agents
  - Highly toxic, closely related chemicals, or CWA precursors
  - Has little or no peaceful application
- Schedule 2:
  - Toxic enough to be used as a CWA
  - Precursor to or important for making a Schedule 1 chemical
  - Not made in large commercial quantities for peaceful purposes
- Schedule 3:
  - Has been used as a CWA
  - Precursor to, or important for making a Schedule 1 or 2 chemical
  - Is made in large commercial quantities for peaceful purposes
- Unscheduled Discrete Organic Chemicals (UDOC)
- Lists of scheduled chemicals follow: also in documents on CD

CWC: Reporting requirements

- Use/transfer of these chemicals is allowed for research, medical, or pharmaceutical purposes.
- Reporting requirements depend on facility type, chemical types and amounts.
  - "Other Facility" type, as defined in CWC documents, most relevant here
  - Amounts of chemicals that would require that your National Authority approve the work and report your institution annually to the OPCW
    - Schedule 1: 100 g aggregate
    - Schedule 2: 1 kg for 2A*, 100 kg for other 2A, 1 Tonne of 2B
    - Schedule 3: 30 Tonnes
    - UDOC: 30 or 200 Tonnes (lower number if contains P, S, or F)

Caution:
Your country might require reporting of lower amounts!

Schedule 1 Chemicals

A. Toxic chemicals

- (1) O-Alkyl (<C10, incl. cycloalkyl) alkyl (Me, Et, n-Pr or i-Pr)-phosphonofluoridates, e.g.
  - Sarin: O-Isopropyl methylphosphonofluoridate
  - Soman: O-Pinacolyl ethylphosphonofluoridate
- (2) O-Alkyl (<C10, incl. cycloalkyl) N,N-dialkyl (Me, Et, n-Pr or i-Pr) phosphoramidocyanidates, e.g. Tabun: O-Ethyl N,N-dimethyl phosphoramidocyanidate
- (3) O-Alkyl (H or <C10, incl. cycloalkyl) S-2-dialkyl (Me, Et, n-Pr or i-Pr)-aminoethyl alkyl (Me, Et, n-Pr or i-Pr) phosphonothiolates and corresponding alkylated or protonated salts, e.g. VX: O-Ethyl S-2-diisopropylaminoethyl methyl phosphonothiolate
- (4) Sulfur mustards:
  - 2-Chloroethylchloromethylsulfide
  - Mustard gas: Bis(2-chloroethyl)sulfide
  - Bis(2-chloroethylthio)methane
  - Sesquimustard: 1,2-Bis(2-chloroethylthio)ethane
  - 1,3-Bis(2-chloroethylthio)-n-propane
  - 1,4-Bis(2-chloroethylthio)-n-butane
  - 1,5-Bis(2-chloroethylthio)-n-pentane
  - Bis(2-chloroethylthiomethyl)ether
  - O-Mustard: Bis(2-chloroethylthioethyl)ether
- (5) Lewisites:
  - Lewisite 1: 2-Chlorovinyldichloroarsine
  - Lewisite 2: Bis(2-chlorovinyl)chloroarsine
  - Lewisite 3: Tris(2-chlorovinyl)arsine
- (6) Nitrogen mustards:
  - HN1: Bis(2-chloroethyl)ethylamine
  - HN2: Bis(2-chloroethyl)methylamine
  - HN3: Tris(2-chloroethyl)amine
- (7) Saxitoxin
- (8) Ricin

B. Precursors

- (9) Alkyl (Me, Et, n-Pr or i-Pr) phosphonyldifluorides, e.g. DF: Methylphosphonyldifluoride
- (10) O-Alkyl (H or <C10, incl. cycloalkyl) O-2-dialkyl (Me, Et, n-Pr or i-Pr)-aminoethyl alkyl (Me, Et, n-Pr or i-Pr) phosphonites and corresponding alkylated or protonated salts e.g. QL: O-Ethyl O-2-diisopropylaminoethyl methylphosphonite
- (11) Chlorosarin: O-Isopropyl methylphosphonochloridate
- (12) Chlorosoman: O-Pinacolyl methylphosphonochloridate

Schedule 2 Chemicals

A. Toxic chemicals

- (1) Amiton: O,O-Diethyl S-[2-(diethylamino)ethyl] phosphorothiolate and corresponding alkylated or protonated salts
- (2) PFIB: 1,1,3,3,3-Pentafluoro-2-(trifluoromethyl)-1-propene
- (3) BZ: 3-Quinuclidinyl benzilate

B. Precursors

- (4) Chemicals, except for those listed in Schedule 1, containing a phosphorus atom to which is bonded one methyl, ethyl or propyl (normal or iso) group but not further carbon atoms, e.g.
  - ethylphosphonyl dichloride
  - dimethyl methylphosphonate
  - Exemption: Fonofos: O-Ethyl S-phenyl ethylphosphonothiolothionate
- (5) N,N-Dialkyl (Me, Et, n-Pr or i-Pr) phosphoramidic dihalides
- (6) Dialkyl (Me, Et, n-Pr or i-Pr) N,N-dialkyl (Me, Et, n-Pr or i-Pr)-phosphoramidates
- (7) Arsenic trichloride
- (8) 2,2-Diphenyl-2-hydroxyacetic acid
- (9) Quinuclidin-3-ol
- (10) N,N-Dialkyl (Me, Et, n-Pr or i-Pr) aminoethyl-2-chlorides and corresponding protonated salts
- (11) N,N-Dialkyl (Me, Et, n-Pr or i-Pr) aminoethane-2-ols and corresponding protonated salts
  - Exemptions: N,N-Dimethylaminoethanol and corresponding protonated salts
  - N,N-Diethylaminoethanol and corresponding protonated salts
- (12) N,N-Dialkyl (Me, Et, n-Pr or i-Pr) aminoethane-2-thiols and corresponding protonated salts
- (13) Thiodiglycol: Bis(2-hydroxyethyl)sulfide
- (14) Pinacolyl alcohol: 3,3-Dimethylbutan-2-ol

Schedule 3 Chemicals

A. Toxic chemicals

- (1) Phosgene: Carbonyl dichloride
- (2) Cyanogen chloride
- (3) Hydrogen cyanide
- (4) Chloropicrin: Trichloronitromethane

B. Precursors

- (5) Phosphorus oxychloride
- (6) Phosphorus trichloride
- (7) Phosphorus pentachloride
- (8) Trimethyl phosphite
- (9) Triethyl phosphite
- (10) Dimethyl phosphite
- (11) Diethyl phosphite
- (12) Sulfur monochloride
- (13) Sulfur dichloride
- (14) Thionyl chloride
- (15) Ethyldiethanolamine
- (16) Methyldiethanolamine
- (17) Triethanolamine

Unscheduled discrete organic chemicals (UDOC)

- Also subject to CWC reporting, but only for large amounts.
- "Discrete Organic Chemical" means any chemical belonging to the class of chemical compounds consisting of all compounds of carbon except for its oxides, sulfides and metal carbonates, identifiable by chemical name, by structural formula, if known, and by Chemical Abstracts Service registry number, if assigned.

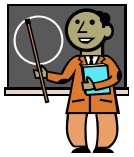
From CWC text – on CD

OPCW: Promotes international cooperation in peaceful uses of chemistry

- Associates program
- Analytical skills development course
- Conference support program
- Research projects program
- Internship Support Program
- Laboratory Assistance Program
- Equipment Exchange Program

OPCW: Protecting each other

- Each member state can request assistance from other member states in the event of a threat or attack, including chemical terrorism
- This can take the form of expertise, training, materials, and/or equipment



Australia Group

- An informal arrangement to minimize the risk of assisting chemical and biological weapon (CBW) proliferation.
  - Harmonising participating countries' national export licensing measures
  - Started in 1985 when Iraq CW program was found to have diverted chemicals and equipment from legitimate trade
- 40 nations plus European Commission participate

Australia Group: Export Controls

- Controls exports of:
  - 63+ Chemical weapon agent precursor chemicals
  - Dual-use chemical manufacturing facilities and equipment and related technology
  - Dual-use biological equipment and related technology
  - Biological agents
  - Plant pathogens
  - Animal pathogens
- Includes no-undercut policy
  - Countries won't approve an export that another member country denied

UN Security Council Resolution 1540

- Unanimously passed on 28 April 2004
- Member States:
  - must refrain from supporting non-State actors in developing, acquiring, manufacturing, possessing, transporting, transferring or using nuclear, chemical or biological weapons and their delivery systems.
  - must establish domestic controls to prevent the proliferation of nuclear, chemical and biological weapons, and their means of delivery, including by establishing appropriate controls over related materials.
- Enhanced international cooperation on such efforts is encouraged, in accord with and promoting universal adherence to existing international non-proliferation treaties.

Components of Chemical Security

Chemical Security Questions

- Is your facility secure?
- How easy would it be for someone to steal chemicals?
- Are the chemistry workrooms, stockrooms, classrooms and labs always locked and secure?
- Is someone always there when these rooms are open?
- Do you check your orders when chemicals arrive to be sure some chemicals are not missing?

Components of Chemical Security

- Physical security of site
- Personnel management
- Information security
- Management of chemical security activities
- Allocation of chemical security responsibilities
- Development of emergency plans
- Chemical security training

Goal: Ensure that you don't accidently help a criminal or a terrorist get dangerous chemicals

Chemical Security: Physical Site

LOCK UP!!

Controlled drugs

Chemical Surety Agents

Highly toxic chemicals

Facility Characterization

Characterize the facility in terms of:

- Site boundary
- Buildings (construction and HVAC systems)
- Room locations
- Access points
- Processes within the facility
- Existing Protection Systems
- Operating conditions (working hours, off-hours, potential emergencies)
- Safety considerations
- Types and numbers of employees
- Legal and regulatory issues

Facility Characterization

Facility characterization provides important data that:

- Identifies locations and assets to be protected
- Establish what existing Protection System components are already present at the facility
- Documents facility layout for use in analysis

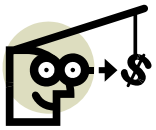
Threat Definition

Threat classes:

- Outsiders—no authorized access
- Insiders—authorized access
- Collusion—between Outsiders and Insiders

What Might Motivate Adversaries?

- **Terrorists**
  - Ideology
- **Criminals**
  - Financial
- **Activists**
  - Ideology
- **Insiders**
  - Ego
  - Ideology
  - Revenge
  - Financial
  - Coercion



Target Identification

- **Determine the possible targets for the following actions:**
  - **Sabotage**
    - **Identify vital areas to protect**
  - **Theft of chemicals**

  - **Theft of information**
    - **Identify location of materials to protect**

Chemical Security: Personnel Management

- **Guard against both *Insider and Outsider* threat**
- **Who checks people entering the building?**
- **Who has keys? How do they get authorized?**
  - Building
  - Stockroom
  - Individual Labs

- **When someone leaves, do you make sure they turn in keys?**
  - Don't want people making duplicate keys

Chemical Security: Information Security

- **How do you track chemical inventory?**
  - **Is the information secured so unauthorized people can't read it or alter it?**
- **Would you know if:**
  - **some toxic chemicals disappeared overnight?**
  - **some toxic chemicals didn't arrive?**
  - **someone was ordered chemicals in the name of your institution but diverted them?**

Chemical Security: Assign Responsibilities

- **Identify people responsible for various chemical security activities:**
  - Physical security, building modifications
  - Chemical tracking and reporting
  - Personnel and access management
  - Information management
  - Emergency planning

- **Ensure they have the time and resources to do the job.**
- **Integrate with chemical safety responsibilities.**

Chemical Security: Professional Behavior

- **Chemical professionals use their scientific knowledge in a responsible manner.**

- **Chemical Educators need to train their students to use their scientific knowledge in a responsible manner.**

Relationships between

Chemical Security

and

Chemical Safety

Relationships Between Chemical Safety and Security

- **Chemical safety:** **Protect against accidents**
- **Chemical security:** **Protect against deliberate harm**

Many practices are the same for chemical safety and security, but there are a few areas of conflict.

Good Practices for Both Chemical Safety and Security

- **Minimize use of hazardous chemicals.**
  - Replace with less-hazardous chemicals, if possible.
  - Reduce scale of experiments.

- **Minimize supply of hazardous chemicals.**
- **Restrict access to hazardous chemicals.**
  - Know what you have.
  - Know how to store, handle and dispose of what you have.
  - Know who has access to materials, knowledge and expertise.
- **Plan what to do in an emergency.**

Conflicts Between Chemical Safety and Security: Information Sharing

Science generally means sharing information widely, but this may not always be advisable.

- **Safety**
  - **Label everything so people can recognize hazardous chemicals.**
  - **Let community and especially emergency responders know what chemical dangers are there.**
  - **Share knowledge about chemical hazards so people know to be alert.**
- **Security**
  - **Labels help identify targets for theft or attack.**
  - **Sharing locations of chemicals can publicize targets for theft or attack.**
  - **Sharing knowledge of chemical hazards could inspire harmful behavior (copy-cat criminals).**

Conflicts Between Chemical Safety and Security: Facility Exits

Locking exit doors is secure, but not safe.

- **For safety, people need to be able to leave the facility quickly and by many routes.**
- **For security, you want to control exits as well as entrances so chemicals (or equipment) are not taken.**

Setting Priorities

- **Labs need to be safe, secure and productive.**
  - Policies and practices need to be flexible enough to allow for the uncertainties of research.
  - Policies and practices need to align with local laws, regulations, practices and culture. Can't just copy from somewhere else.
- **Use risk-based security and safety measures.**
  - Can't afford to defend against every imaginable hazard.
  - Identify threats, characterize facilities, identify alternatives, analyze costs vs. performance.
- **Be alert for suspicious activities or inquiries.**

All Chemical Facilities Need to be Secured

- Small-scale research laboratories
  - Many different chemicals used in small amounts.
- Large-scale manufacturing plants
  - Limited types of chemicals used in large amounts.
- Security measures need to match facility and threat
  - Can't afford to defend against all imaginable threat.

LUNCH

Chemical Safety and Security Program

Organization and Responsibilities

Chemical Safety and Security Program Purpose

- Help establish a safe and secure workplace.
- Help safeguard the environment.
- Prevent/reduce release of hazardous chemicals and operations.
- Prevent/reduce exposure to staff.
- Reduce stress.
- Enhance community relations.
- Comply with regulations.
- Crisis management

Crisis Management: Prevention & Response

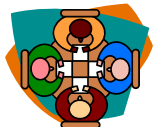
- Facility crisis
  - Fire
  - Explosion
  - Chemical release
- Natural disaster
  - Earthquakes
  - Hurricane/typhoon
  - Tsunami
- Disgruntled personnel
  - Employees
  - Ex-workers
  - Students
- Demonstrations, protests
- Evacuation / reoccupancy
- Terrorism

Crisis Management: Criminal & Terrorism Concerns

- External security
  - Fences
  - Cameras
  - Guards
- Internal security
  - Personnel background checks
  - Employees, contractors, students
- Theft
  - Chemicals, materials
  - Equipment
- Bombing
- Toxic release

Chemical Safety and Security Applies to Everyone

Administration
Human Resources
Purchasing
Facilities
Construction
Police/Security
Department Administration
Research Administration
Employees
Students
Contractors
All visitors



Faculty/Principal Investigator

has the responsibility

to *teach*, *model* and *encourage* good Chemical Safety and Security practices

Principal Investigator CSS Responsibilities

- Develop procedures with CSSO for unique hazards and chemicals (e.g. carcinogens)
- Develop proper control practices with CSSO
- Participate in developing CSS Plan, CSS Committee, accident investigations
- Ensure CSS documents and records are maintained
- Maintain local chemical inventory for their lab
- Ensure (M)SDS are available in the laboratory
- Facilitate compliance with policies, guidelines and regulations

CSS Responsibilities Principal Investigator, cont'd.

- Ensure students/workers know and follow policies and practices
- Ensure equipment and controls are properly maintained
- Ensure all students/workers received proper training and refreshers
- Ensure new students/workers receive proper training before starting work
- Inform CSSO of any accidents and incidents
- Follow-up on accidents and incidents

Employees and students

have a responsibility

to *actively* support and participate in the CSS Program.

Employee/Student CSS Responsibilities

- Follow policies/rules
- Wear Personal Protective Equipment (PPE)
- Report accidents, incidents/near misses, problems
- Learn about hazards of specific chemicals
- Suggest changes and improvements
- Work safely
- Do not put others at risk
- Encourage good safety and security
- Behave responsibly

Employee/Student CSS Responsibilities

- Understand and act in accordance with policies and practices
- Wear and maintain proper PPE
- Use engineering controls properly
- Follow good chemical safety practices
- Participate in required training
- Read & understand CSS related documents
- Report accidents, incidents
- Suggest improvements and changes to the CSS Program
- Participate in the CSS Program

Chemical Safety and Security Officer

has the responsibility

to provide expertise and information
so that
a safe and healthy workplace
is present

CSSO Training, Experience, Skills

- **Chemistry**
  - Nomenclature
  - Physical properties
  - Reactivities
  - Chemical compatibilities
- **Health and Safety (industrial hygiene)**
- **Security**
  - Facility
  - Chemicals
  - Equipment
  - Personnel
- **Psychology**
  - Dealing with people
- **Physics**
  - Ventilation
  - Radiation (ionizing/non-ionizing)
  - Electrical
- **Biology**
  - Biosafety
  - Recombinant DNA
  - Blood borne pathogens
- **Administration**
- **Writing**
- **Speaking/presentations/training**

CSSO Responsibilities

- Report directly to higher management
- Provide leadership in safety and security
- Draft a budget
- Ensure Plans and Manuals are written and updated
- Advise administration, staff, employees, students
- Conduct inspections and audits
- Investigate accidents and incidents
- Respond to problems and concerns
- Participate in Chemical Safety and Security Committee(s)
- Ensure documentation, records and metrics are maintained
- Develop CSS Training plans
- Know legal regulations and ensure compliance

The Function of the CSSO is to Act as a Co-Worker, *NOT* as a Policeman

Chemical Safety and Security Committee

has the responsibility

to oversee and monitor the CSS Program for management so that a safe and healthy workplace is maintained

Chemical Safety and Security Committee Responsibilities

- Reports directly to senior management
- Endorses policies
- Meets regularly (2 – 4 times/yr) with agendas
- Reviews accidents and incidents, may investigate, write reports with recommendations
- Establishes appropriate subcommittees on specific topics

Chemical Safety and Security Committee Composition

- Chaired by committed staff
- CSSO is ex-officio member
- Includes representatives from:
  - Facilities Management
  - Security
  - Administration
  - Faculty/Staff
  - Teaching Assistants/Graduate Students
  - Shops/Unions
- Representatives should rotate after a few years

Management
CSS Responsibilities

Commitment:

- **Establish a formal CSS Program**
- **Announce formation of a CSS Program**
- **Create a written policy statement**
- **Designate a Chemical Safety and Security Officer**
- **Endorse a written CSS Plan (Manual)**
- **Participate and intervene as needed**

Support:

- **Financial support (budget)**
- **Staffing**
- **Response/resolution of problems by**
  - Establishing a CSS Committee
- **Stipulates CSS is part of everyone's job**
  - CSS applies to everyone
  - Specifies CSS orientation for new employees
- **Supports CSS staff**

Management
CSS Responsibilities

POLICY STATEMENT

Documents and describes the commitment and support from the highest management level for the Chemical Safety and Security Program

Policy Statement
Purpose

Establish and provide for maintenance of an effective Chemical Safety and Security Program to protect:

- **Employees**
- **Facility**
- **Neighbors**
- **Environment**
- **Comply with regulations**

Policy Statements

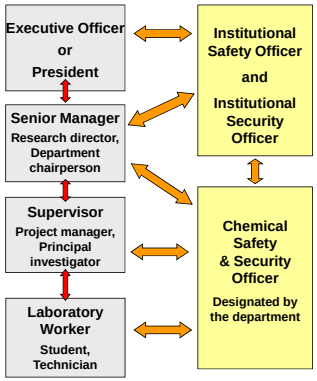
- **By senior management**
- **Typically brief**
- **Clear goals**
- **Commitment**
- **Defines employee role**
- **Identifies resources and staff**
- **Signed by person in authority**

Director/President CSS Responsibilities

- Establish an effective CSS Program
- Provide for a budget
- Endorse written Policies, Plans and Manuals
- Appoint CSS Officers
- Ensure CSSO has responsibility, authority and accountability to perform assigned duties
- Establish a CSS Committee
- Maintain support and endorsement
- Timely response to Safety Committee recommendations
- Follow and set example, e.g., wears PPE

Chemical Safety and Security Program Ideal Roles

- Culture of Chemical Safety and Security should exist at all levels of the organization.
- Top management sets policy, provides resources.
- Workers, students, researchers must understand and implement.
- Many organizational interactions are important for chemical safety and security
  - After Fig 1-1 in Prudent Practices in the Laboratory, NRC 1995



CSS Program Evaluation

- Management leadership
- Employee involvement
- Administrative controls
- Security controls
  - Access to buildings, materials
- Engineering controls
- Accident/incident investigation
- Training
- Use of Personal Protective Equipment (PPE)
- Emergency Response Program
- Medical Surveillance Program
- Work site analysis
  - Inspections, surveys, hazard analysis

Chemical Safety and Security Officer Duties

CSSO Duties Include:

Surveys
Job Hazard Analysis
Inspections
Training
Medical Monitoring
Investigations

CSSO Duties

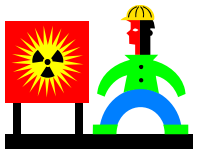
- Oversee procurement, use, storage & disposal of hazardous materials
- Set criteria for exposure levels
- Write and revise CSS Plan
- Trains, documents and ensures training is performed
- Performs risk assessment and monitoring
- Conducts audits and inspections
- Investigates and reports on accidents, incidents
- Interacts with staff to correct deficiencies
- *Follows up* to ensure correction and resolution of issues

CSSO Duties

- Consult/advise project management on CSS concerns
- Coordinate with Principal Investigators
- Coordinate and facilitate medical surveillance
- Coordinate record keeping
- Coordinate with BSO, RSO, facilities, administration, security

Hazard Survey

- Baseline
- Periodic (inspections)
- Identify potential job hazards, material hazards, and process hazards



Hazard Survey Process

- **Prepare survey form**
- **Walk-through**
- **Take measurements**
  - Sample if necessary, monitor exposure (e.g., formaldehyde, radiation)
- **Data analysis**
- **Write and deliver report**

Job Hazard Analysis (JHA)

Hazards associated with a particular task become apparent from a brief survey:

- **Compile steps needed to complete job.**
- **Analyze each step in detail.**
  - **Could exposure occur?**
  - **Could an accident occur?**
  - **Could a change in practice / process could create hazard?**
- **Develop recommendations on precautions to eliminate/minimize hazard.**

Periodic Lab Inspections

- **Done by CSSO**
- **Coordinate with lab supervisor/Chief/PI/occupants/ safety representative**
- **Team may include:**
  - **Peers**
  - **Facilities representative**
- **Frequency determined by hazards present and local practices**
  - **2 - 4 times/yr**
- **Look for:**
  - **Good and bad practices**
  - **new hazards**
  - **new security issues**

Sample Laboratory Survey/Inspection Checklist

- **Date of Inspection:_____**
- **Conducted by:_____**
- **Location (room and building):_____**
- **Principal Investigator/supervisor:_____**
- **Laboratory Work Practices**
  - Smoking observed?
  - Food observed/stored. In refrigerators?
  - Mechanical pipetting devices present/used?
  - Hazardous chemicals present/used in designated areas?
  - Lab surfaces cleaned/decontaminated after use?
  - PPE available/properly used, stored, maintained?

Survey/Inspection Checklist, cont'd.

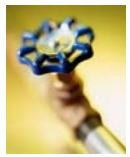
- **Hazard Communication**
  - Warning signs, required PPE ***posted.***
  - (M)SDS available.
  - Signs for storage areas, refrigerators, waste, designated work areas' specific hazards.
  - Label all containers.
  - Access controlled.
- **Personal Protective Equipment**
  - Available for each specific hazard.
  - Eye protection available, when & where required & *posted.*
  - Other PPE available as necessary.
  - Visitor PPE available.
  - Visitor requirements for PPE ***posted.***

Survey/Inspection Checklist, cont'd.

- **Chemical Storage**
  - Area secured
  - Chemicals with special security needs present?
  - Chemicals inventoried
  - Incompatible chemicals segregated.
  - Volatile, flammable material keep away from heat.
  - Corrosives, flammables keep below eye level.
  - Limited quantities of flammables, or other hazardous chemicals, stored in lab.
  - Unnecessary, outdated chemicals discarded.
  - Safety carriers available for bottle transport.

Survey/Inspection Checklist, cont'd.

- **Compressed Gas Cylinders**
  - Properly chained or secured
  - Caps in place, if available
  - Stored away from heat
  - Cylinders properly marked with contents
  - Empty and full separated
  - Flammables separated from non-flammables
  - Lines labeled and in good condition
  - Proper valves used
  - Toxic gases stored securely



Survey/Inspection Checklist, cont'd.

- **Safety Equipment**
  - **Eyewashes & safety showers present, unobstructed, in good working order, routinely tested and maintained.**
  - **Fire alarms & telephones appropriately placed and labeled.**
  - **Adequate number and type of unobstructed, routinely inspected fire extinguishers.**
  - **Spill kits available, maintained, labeled.**
  - **Adequate number of fire alarm/ detection devices.**
  - **Flammable storage cabinets available.**
- **General Facility**
  - **Benches are water/chemical heat resistant.**
  - **Sturdy furniture.**
  - **Sinks for hand washing.**
  - **Exits marked**
  - **Access controls**

Survey/Inspection Checklist, cont'd.

- Ventilation
  - Hoods available and in good working order.
  - All hoods marked with proper operating height and restrictions for use.
  - Hoods not cluttered with chemical and equipment storage.
- Housekeeping
  - Lab areas uncluttered.
  - Aisles & exits unobstructed.
  - Work surfaces free from contamination.
  - Spills cleaned up.
  - Electrical cords in good condition, equipment grounded.
  - Heavy objects on lower shelves.
  - Glassware free from defects.

Training Program

- Determine if training is needed, e.g., JHA
- Identify needs
- Identify Goals & Objectives
- Develop training activities
- Identify resources
- Conduct training
- Evaluate effectiveness
- Improve program

Employee Training Topics

- New employee orientation
- Specialized laboratory equipment and procedures
- Recognize Occupational Exposure Limits (OEL) for hazardous chemicals; (M)SDS
- PPE use, storage and maintenance (especially respirators)
- Fire safety and fire extinguisher use
- Emergency plans, evacuation procedures & routes
- Ionizing radiation
- Non-ionizing radiation, lasers, microwaves
- Special exposure, e.g., formaldehyde
- Biosafety, Bloodborne pathogens
- Facility security requirements
- Animal Care facilities - use and techniques

Training Documentation: Sample

- Employee name: _____
- Department: _____
- Date: _____

- Training Subject: _____
- Training Date: _____
- Re-instruction date: _____

- Employee Signature: _____
- Date Signed: _____
- Supervisor's signature: _____
- Date: _____

Medical Surveillance Program

- Baseline screening
  - Medical history
  - Past illnesses, exposures and diseases
  - Comprehensive physical exam
  - Assessment of limitations
    - Respirator use and other PPE
- Treatment
  - Emergency
  - Non-emergency (e.g., first aid)
- Periodic Medical exam
- Termination exam
- *Confidential* record keeping
  - Physician, employee

Biological Monitoring Program

- Identify employees with potential exposure to specific hazardous chemicals, biological agents, working conditions.
  - Specific signs and symptoms of chemical exposure.
  - Use of respirators.
    - Cardiovascular, hearing (perforated tympanic membrane), neurological (e.g., epilepsy), psychological disorders
  - Working in noisy areas.
  - Working in Biosafety risk areas.
    - Bloodborne pathogens
      - e.g., Human blood and body fluids, hepatitis B (HBV), HIV, AIDS
    - Infectious agents
      - e.g., Zoonosis, animal care, recombinant DNA
- Determine extent of personal and environmental exposure.
- Take actions to eliminate/minimize exposure.
- *Confidential* record keeping .

Medical Surveillance *vs*. Biological Monitoring

Medical Surveillance

- General program
- Establishes baseline
- Evaluates employees before potential exposure
- Documents past exposure and existing conditions
- Simpler, cheaper, less invasive medical testing
- May be used in conjunction with biological monitoring

Biological Monitoring

- Chemical specific signs and symptoms
- Known exposure levels
- Documented exposure
- Documented amounts of personal exposure
- Documented environmental exposure
- Most specific, most expensive, more invasive

Guidelines for Incident Investigation

- Description/report of incident
- Review of organizational policy
- Start of investigation
- Cause of incident
  - Emphasis is prevention, *NOT* blame
  - Timely report with recommendations to all responsible parties including senior management
- Timely response to recommendations
  - Correction
  - Follow-up
  - Action taken
  - Training

Incident Investigation Form: Sample

- Date of accident/incident_____
- Time reported_____
- Location_____
- Type of incident: fire, explosion, spill, employee exposure, theft, intruder, near-miss _____
- Date of investigation_____
- Investigation team members_____

Nature of Incident

- Incident description, include people, task, chemicals, etc. involved
- Nature of injuries, exposures, illnesses, damages, losses
- Determination of potential causes
- PPE worn at the time
- Hazard control or access control measures in use

Incident Investigation Form, cont'd.

- Organizational polices, procedures, etc. that apply
- Was training proper and up-to-date?
- How could incident been prevented?
- Has similar incident occurred in past, when, where, circumstances?

Team recommendations to prevent reoccurrence of such incidents:

_____

_____

_____

BREAK

Chemical Safety and Security Plan

First step: Collect information

- Writing a good CSS plan requires a lot of information
- Assessment questionnaires can be used to collect such information
- Distribute to:
  - PIs
  - Management
  - Facilities
  - Security
  - Medical

Assessment Questionnaire

- Who is responsible for CSS compliance?
  - Criteria for exposure control
  - Developing exposure control measures
  - Exposure monitoring
  - Identification of hazardous materials
  - Limited access policy
  - Ventilation maintenance
  - Safety equipment
  - Personal protective equipment
  - Training
  - Hazardous waste management
  - Medical surveillance
  - Emergency response

Assessment Questionnaire, cont'd.

- List individuals (managers, PIs, professionals, technicians) with Safety & Security responsibilities; indicate SO, CSSO, BSO, RSO, etc.
- Who maintains CSS records?
- Is there a Safety/Security Committee?
  - Responsibilities
  - Who are the members?
  - How often do they meet?
- Is there a CSS Manual, Plan?
- Are there CSS policies?
- Is there an Emergency Response Plan?
- Are routine CSS inspections conducted?
  - By whom
  - Details

Chemical Safety and Security Plan

- Includes CSS Policy Statements from senior management.
- Describes the entire Program.
- Describes the organization of the Program.
- Explains everyone's responsibilities.
- Describes in general terms policy and who, what, where and why a safety or security task or job is performed.
- Includes references, if necessary.

Parts of a Chemical Safety and Security Plan

- Policy statement from Senior Management
- Safety & Security Organization
  - Management
  - Responsibilities
    - Management
    - Administration
    - CSSO staff
    - Facilities Management
    - Principal Investigators
    - Staff
    - Contractors
- General housekeeping
- Eating, smoking areas
- Signs & labels
- Emergency procedures
- Chemical storage
- Personal protective equipment
- Respirator protective program

Parts of a Chemical Safety and Security Plan, cont'd.

- Engineering Controls
  - Ventilation
  - Laboratory hoods
- Waste Management
- Training
- Record keeping
- Fire Protection & Protection
- Location of emergency equipment
- Evacuation plans
- Personal and environmental monitoring
- Inspections
- Medical surveillance
- Administration
  - Purchasing chemicals
  - Purchasing safety equipment

Standard Operating Procedures (SOP)

- An SOP explains *concisely and precisely* how, where and who performs a task.
- It does *not* explain why the task is done.
- The Safety and Security Plan explains policy and why a task is performed

Standard Operating Procedures (SOP), cont'd.

- SOPs are:
  - Dated
    - When issued
    - When reviewed
    - When revised
  - Have: subject, title and identification code
  - Officially reviewed by management
  - Signed by all responsible parties
  - May include forms
  - Written in a consistent and official format with numbered pages

Standard Operating Procedures (SOP)

Consider written SOPs on:

- Security clearance and visitor access
- Employee training
- Medical surveillance
- Respiratory protection and fit
- Eye protection
- Ventilation system maintenance
- Storage, receipt, transport and shipping of hazardous materials
- Accident and emergency response including natural disasters
- Spill cleanup
- Waste management
- Hazardous material handling
- Special operations, radiation, biosafety, lasers, infectious agents

Plan and SOP Revision Guidelines

- CSS Plan → As needed, every 5 years
- (M)SDS → As received
- Laboratory Hoods → As needed
- Training records → Yearly, and as needed
- Medical Surveillance records → As needed, and every 12-18 months
- Exposure monitoring
- Waste records

} As needed

Record Retention Recommendations

- Personal records kept by Human Resources for the duration employment + 30 years.
- Medical records are *confidential* and should be kept by the examining physician for duration of employment + 30 years.
- Most other records (e.g., routine monitoring, should be kept for 5 years after date of performance).

Questions? Open Discussion Homework