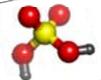


Chemical Safety and Security Officer Training

Yemen
January 2012



SAND No. 2009-936P
Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company, for the United States Department of Energy's National Nuclear Security Administration under contract DE-AC04-94AL85000.


Chemical Safety and Security Overview



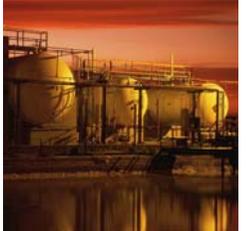
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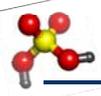

Why worry about chemical safety?

- Chemicals used everyday in labs and factories can be hazardous.






3

Possible chemical health problems

<u>Chemicals</u>	<u>Diseases</u>
▶ Vinyl chloride	▶ Liver cancer
▶ Asbestos	▶ Mesothelioma
▶ Carbon tetrachloride	▶ Hepatotoxin (jaundice)
▶ Mercury	▶ Neurotoxin, CNS, narcosis
▶ Lead	▶ Reprotoxin, birth defects
▶ Thalidomide	▶ Reprotoxin, developmental defects
▶ Methanol	▶ Blindness, death
▶ CO, CS ₂	▶ Hematopoietic, hemoglobin, cyanosis



4





Disease depends on many factors...

- ▶ Genetics
- ▶ Specific chemical
- ▶ Protection controls used
- ▶ Dose
- ▶ Concentration
- ▶ Duration
- ▶ Life style
- ▶ Environment






5




University of California Santa Cruz: Fire

- January 11, 2002:
about 5:30 am, 4th floor of
Sinsheimer Lab building, Dept.
of Molecular, Cell and
Developmental Biology.
 - Firefighters responded to alert
from heat-detection system in
building.
 - Controlled by noon.
 - Up-to-date inventory of
hazardous materials allowed
firefighters to enter building
and contain fire.
 - Building did not have
automatic sprinkler system.




<http://ehs.ncsc.edu/ehs/ehs/ehs/sinshfire2.htm>

6




University of California Santa Cruz: Fire, cont'd.

- ▶ Professors and students lost
equipment, notes, materials,
samples.
- ▶ Other labs in building closed
for weeks to months.
 - Water and smoke damage
- ▶ Burned labs took 2 years to
reopen.
- ▶ Cause never determined.




7




Environmental hazards California State Univ. Northridge: Earthquake

- Magnitude 6.7
- January 17, 1994 – 4:31 am
- 57 deaths, 11000 injuries
- Epicenter a few km
from California
State University
Northridge campus





- Several fires in science
buildings allowed to
burn because firemen
worried about chemical
hazards
- Professors and students
lost equipment, notes,
materials, samples

Images courtesy: P.W. Weigand, California State University Northridge Geology Department.
Image source: Earth Science World Image Bank <http://www.earthscienceworld.org/images>



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Dartmouth College: Dimethylmercury poisoning

- ▶ Karen Wetterhahn, professor and founding director of Dartmouth's Toxic Metals Research Program
 - expert in the mechanisms of metal toxicity
- ▶ In 1996, spilled a few drops of dimethylmercury on her gloved hand
 - Cleaned up spill immediately
 - Latex glove believed protective
- ▶ Six months later, became ill and died of acute mercury poisoning at age 48



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Bhopal: Pesticide plant chemical release

- One of the greatest chemical disasters in history, December 1984
- Union Carbide plant making Sevin released ~40 tonnes of methyl isocyanate in the middle of the night
- Low local demand for pesticides meant the plant was only partially running
- Some hardware was broken or turned off, including safety equipment
 - Safety measures and equipment far below US standards
- Plant in heavily populated area



*"The Bhopal disaster and its aftermath: a review", Edward Broughton, *Environmental Health: A Global Access Science Source* 2005, 4:6, <http://www.ehjournal.net/content/4/1/6>, accessed 12/07

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Safety Video: Reactive Hazards



CSB
U.S. Chemical Safety and
Hazard Investigation Board

Safety Video

Reactive Hazards:
Dangers of Uncontrolled
Chemical Reactions

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Taiwan: Silane fire



- ▶ Motech Industries solar cell plant in Tainan Industrial Park
 - 1 death
 - US \$1.3 million damage
 - Silane / air explosion
 - Operator responded to gas-cabinet alarm
 - Explosion occurred when he opened gas-cabinet
 - Fire burned for 1 hour before being controlled
 - Caused other SiH₄ and NH₃ cylinders to empty
 - November 2005

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Chemical accidents are now under stricter control and scrutiny

- ▶ Better individual country regulations
- ▶ Better international regulations
 - IATA
 - GHS
 - REACH
- ▶ Environmental problems after natural disasters
 - Earthquakes, cyclones, hurricanes, floods
- ▶ Increased public awareness
- ▶ Increased media coverage
- ▶ Less public tolerance




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Why worry about chemical safety?

- ▶ Health of the workers
- ▶ Safety of the workers
- ▶ Safety of the community
- ▶ Safety of the environment




...It's the right thing to do!

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Why worry about chemical safety?

- Long history of people deliberately using chemicals to harm others.
- Information on how to acquire and deliver them is easy to get:



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Aum Shinrikyo: Matsumoto and Tokyo, Japan

- **Sarin attack on Judges in Matsumoto, June 1994**
 - Sarin sprayed from truck at night
 - 7 deaths, 144 injuries
- **Sarin attack on Tokyo subway, March 1995**
 - 11 bags with 600 g each on 3 main subway lines
 - 12 deaths, 3938 injuries
- **Hydrogen cyanide attacks on Tokyo subway, May 1995**
 - Bags of NaCN and sulfuric acid
 - No deaths, 4 injuries



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Aum Shinrikyo: Tokyo, Japan



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Aum Shinrikyo: Matsumoto and Tokyo, Japan, cont'd.

- ▶ Recruited young scientists from top Japanese universities.
- ▶ Produced sarin, tabun, soman, VX.
- ▶ Purchased tons of chemicals through cult-owned companies.
- ▶ Motives: proof of religious prophecy, kill opponents, interfere with legal proceedings and police investigations.



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Chicago, Illinois, USA

- ▶ March 2002, an anarchist (called himself "Dr. Chaos") was found at 2 am in a Univ. Illinois, Chicago, building carrying sodium cyanide
- ▶ Had chemicals in a storage room at the Chicago subway
 - included containers marked mercuric sulfate, sodium cyanide, potassium cyanide, and potassium chlorate
 - 100g (0.25 lb) of potassium cyanide and 400 g (0.9 lb) of sodium cyanide
 - stolen from an abandoned warehouse, owned by a Chicago-based chemical company
 - 15 drums and 300 jars of various other laboratory chemicals were discovered there
- ▶ Sentenced to prison for "possessing a chemical weapon", as well as other charges (Interfering with power, air-traffic control systems, computer systems, broadcast systems and setting fires).



<http://cns.mis.edu/db/wmd/incidents/1190.htm>, accessed 12/07

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Iraq



- Many incidents in which chlorine gas cylinders are blown up with explosives
 - Chlorine probably stolen/diverted from water purification plants or oil industry
 - Many civilians and non-combatants injured
- Chlorine first used in WWI as a chemical weapon

On March 23, 2007, police in Ramadi's Jazeera district seized a truck filled with "five one-ton containers filled with chlorine and more than two tons of explosives"

From http://www.longwarjournal.org/archives/2007/03/al_qaedas_chlorine_w.php downloaded Jan 2008.

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Why worry about chemical security?

- Health and safety of people and environment
- Community relationships
- Reduce chance of accidental chemical release
- Avoid loss and damage to labs and equipment
- Prevent criminals and terrorists from getting dangerous chemicals
 - Wide variety of chemicals have been used
 - Wide variety of motivations for actions
- A deliberate attack on a chemical facility could release a large amount of hazardous chemicals
 - Injure or kill people in nearby areas
 - Eliminate jobs and economic assets




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Safety and Security Issues are similar

Variables

- ▶ Many different chemicals with:
 - different properties
 - different hazard
 - different applications
- ▶ Many different ways to misuse chemicals
 - chemical weapons
 - poisons

Protect

- ▶ Workers
- ▶ Facility
- ▶ Community
- ▶ Environment





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Government regulations: Chemical security

- Differ from country to country
- Legislation needed to fulfill requirements under the Chemical Weapons Convention
 - Each country passes appropriate laws
 - Each country must declare and track certain chemicals
- UN Resolution 1540
- Other export control legislation







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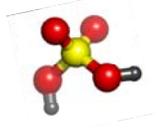
Important Questions:

How does your country **regulate** and **control** chemical safety and security?

- ...Is it **effective**?
- ...Could it be **improved**?
- ...**How**?

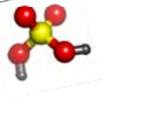



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Break

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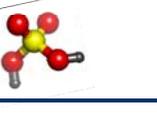
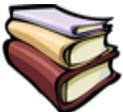



Fundamentals of Chemical Laboratory Safety

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References

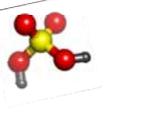
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http://portal.acs.org/portal/acs/corg/content?nfpb=true&_pageLabel=PP_SUPERARTICLE&node_id=2230&use_sec=false&sec_url_var=region1&_uuid=ef91c89e-8b83-43e6-bcd0-ff5b9ca0ca33

“Prudent Practices in the Laboratory:
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National Academy Press, 1995, also
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http://www.nap.edu/catalog.php?record_id=4911

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Definitions

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Chemical Laboratory Safety

- *The control of exposure to potentially hazardous substances to attain an acceptably low risk of exposure*



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Chemical Laboratory Safety

Hazard - *the potential to harm*



Risk - *the probability that harm will result*

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Chemical Laboratory Safety

based on the principle of

Industrial Hygiene

- *The **anticipation, recognition, evaluation and control** of health hazards in the work environment to protect workers health and well-being and to safeguard the community and the environment*

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Chemical Laboratory Safety

↓

Industrial Hygiene Principles

Anticipation	}	Chemical hazards
Recognition		Physical hazards
Evaluation		Ergonomic hazards
Control		Biological hazards

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Anticipation

Safety First !

To consider safety in the beginning is:



Easier,

Cheaper,

Safer,



... and it saves you time !


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Anticipation

Advance Experiment Planning:



Outline proposed experiment

Acquire safety information
(M)SDS, REACH

Consult with CSSO?


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Anticipation

Risk Analysis

- ▶ Which chemicals?
- ▶ How much?
- ▶ Special equipment needed?
- ▶ Who does the work?
- ▶ Staff properly trained?
- ▶ Can the experiment go wrong?
- ▶ Do you have an emergency plan?




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Recognition



Types of lab hazards:

chemical toxicity

fire / explosion

physical hazards

biohazards

radiation

special substances






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Recognition & Evaluation

What are the anticipated risks?

- Are the equipment & facilities adequate?
- Are staff properly and sufficiently trained?
- Risks if experiment goes wrong?
- Is there a plan for this?




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Control

How are the risks controlled?

- **Engineering controls:**
 - enclosure / isolation
 - ventilation / hoods
- **Emergency Plan**
- **Personal Protective Equipment (PPE)**




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Chemical Toxicity



Acute (short term, poisons, asthmagens)
cyanide
strychnine

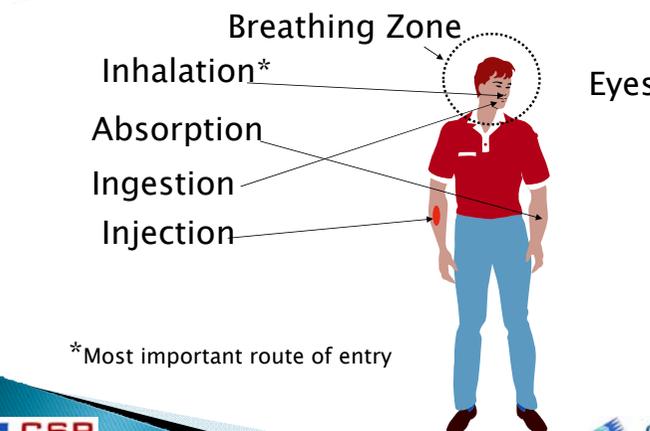
Chronic (long term, carcinogens, reproductive)
vinyl chloride (liver cancer)
asbestos (mesothelioma, lung cancer)
thalidomide (developmental birth defects)



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Routes of Exposure



Breathing Zone

Inhalation*

Absorption

Ingestion

Injection

Eyes

*Most important route of entry



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Fire and Explosion Hazards






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Physical and Ergonomic Hazards

- ▶ Moving unguarded parts, pinches vacuum pump belts
- ▶ Broken glassware and sharps, cuts
- ▶ Pressure apparatus
- ▶ Vacuum containers
- ▶ Dewar flasks
- ▶ High voltage equipment
- ▶ Computer workstations
- ▶ Slips, trips & falls




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Biohazards

- Blood borne pathogens
 - AIDS, HIV, hepatitis, clinical chemistry labs
- Recombinant DNA
 - Genetic engineering, cloning
- Work with animals
 - Zoonoses, diseases from animals

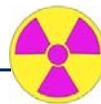


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Radiation Hazards




Ionizing Radiation:
alpha α , beta β , gamma γ ,
X-rays, neutrons

Radioactive isotopes:
tritium, H-3, carbon, C-14,
sulfur, S-35, phosphorus, P-32/33, iodine, I-135



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Radiation Hazards



Non-Ionizing Radiation:

- Ultraviolet (UV spectrometers)
- Magnetic (NMR, MRI)
- Microwave (Heart pacemaker hazard)
- Lasers (eye protection required)




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Special Chemical Substances

Controlled Substances:
regulated drugs, psychotropic (hallucinogenic) substances, heroin



Highly Toxic Chemicals:
nerve gas, phosgene, riot control agents, chemical warfare agents



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Evaluation & Control

- Administrative practices
organizational policies
- Operational practices
work practices
- Engineering controls
ventilation, barriers



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Administrative Practices

organizational *safety policies*
that apply to everyone



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Lab Safety Policies



- ❖ Have a Safety Manual
 - Never work alone, especially after hours.
 - Specify when eye protection & PPE is required.
 - Specify operations that require hood use.
 - Specify required training.
 - No mouth pipetting.
 - No long hair or dangling attire.



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Lab Safety Policies

- ▶ No eating, drinking, smoking in laboratories
- ▶ Label all chemical containers
- ▶ Label refrigerators, No Food
- ▶ Label explosion safe refrigerators
- ▶ Require periodic fire drills




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Operational Practices

Safe Laboratory Procedures:

- ▶ Packages opened only in labs, not receiving
- ▶ Receiving staff trained to look for signs of breakage and/or leaking shipments
- ▶ Receiving area has spill kits
- ▶ Mailroom/receiving alert for suspicious shipments




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Safe Laboratory Procedures



Use hoods properly:

- Work 15 cm (6") in from sash
- In center of hood
- Work with hood sash at ~45 cm (18") high
- Close sash when not in use
- Don't use for storage



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Engineering Controls

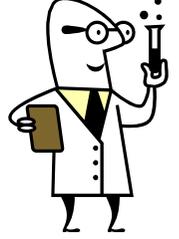
SOURCE



PATHWAY



RECEIVER




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Engineering Controls

1. Change the process
eliminate the hazard



2. Substitution
non-hazardous substance for hazardous
(e.g. – toluene for benzene)



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Engineering Controls

3. Isolate or enclose the process or worker



Use a barrier



4. Ventilation

Dilution (general ventilation) – Not good
Local exhaust ventilation (LEV) – Preferred



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Engineering Controls



Properly functioning
& used correctly!
Laboratory hoods
and ventilation are
the basis of
engineering
controls.



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Engineering Controls

Local exhaust ventilation includes:

- *snorkels*
- *vented enclosures*
-




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Engineering Controls

Local exhaust includes:

- *special containment devices*
 - glove boxes
 - isolation chambers




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Personal Protective Equipment

PPE includes:

- eye protection,
- gloves,
- laboratory coats. etc.,
- respirators,
- appropriate foot protection




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Emergency Planning & Response

- Have routine, unannounced evacuation drills.
- Designate a person for each area to ensure that inner rooms are evacuated.
- Locate outside staging areas at sufficient distance from the building.
- Test and maintain alarms.
- Post a person to meet/direct emergency vehicles.




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Emergency Planning & Response

Post each room with:

- ▶ emergency phone numbers
- ▶ after hour phone numbers
- ▶ person(s) to be contacted
- ▶ alternate person(s)
- ▶ unique procedures to be followed

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Aspects of Chemical Security

Dual-use Chemicals

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Chemical dual-use awareness

Dual use chemicals: Chemicals used in industry or everyday life that can also be used in bad ways.



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Dual-use chemical example: Pseudoephedrine

- ▶ Pseudoephedrine is a common ingredient in cold medicines
- ▶ Precursor to crystal methamphetamine
- ▶ Recipes for conversion available on web



- Clandestine meth labs in US, 2002
 - Caused 194 fires, 117 explosions, and 22 deaths
 - Cost \$23.8 million for cleanup
 - Dumped chemicals led to
 - deaths of livestock
 - contaminated streams
 - large areas of dead trees and vegetation

US DEA, http://www.deadiversion.usdoj.gov/pubs/brochures/pseudo/pseudo_trifold.htm, viewed Dec 2007

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Dual-use chemical example: Cyanide




Therence Koh/AFP/Getty Images



- ▶ Widely used in mining and metal plating industries, but is also a well known poison.
- ▶ Product tampering*
 - Tylenol capsules
 - laced with KCN
 - 7 deaths, fall 1982, Chicago, Illinois, USA
 - Led to tamper-proof product packaging
- ▶ Popular with criminals and terrorists because it is relatively easy to obtain
- ▶ HCN is CW agent AC

* "Tylenol Crisis of 1982." Wikipedia. The Free Encyclopedia. 22 Nov 2007. 06:04 UTC. Wikimedia Foundation, Inc. 28 Nov 2007 <http://en.wikipedia.org/w/index.php?title=Tylenol_Crisis_of_1982&oldid=173056508>.

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Dual-use chemical example: Pesticides



- Widely used in homes and agriculture, but also used to poison people.
- ▶ Dushuqiang (Strong Rat Poison)
 - Outlawed in China in the mid-1980s, but was still available
 - Nanjing, China, Sept. 2002
 - 38 people killed by poison in snack-shop food, >300 sick
 - Jealousy by rival shop owner
 - Hunan, China, Sept. 2003
 - 241 people poisoned by cakes served by school cafeteria
 - Motive and perpetrator unknown
 - Tongchuan City, Shaanxi, China, April 2004
 - 74 people poisoned by scallion pancakes
 - Motive and perpetrator unknown
 - 5 other incidents reported between 1991 and 2004

FIGURE. Package of Chinese rodenticide implicated in the poisoning of a female infant aged 15 months — New York City, 2002



Photo: New York City Poison Control Center

Ann. Emerg. Med., Vol. 45, pg. 609, June 2005

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Many lab/industrial chemicals have dual uses



- ▶ Dimethyl methyl phosphonate (DMMP)
 - Flame retardant for:
 - building materials, furnishings, transportation equipment, electrical industry, upholstery
 - Nerve agent precursor
- ▶ Thiodiglycol
 - Dye carrier, ink solvent, lubricant, cosmetics, anti-arthritis drugs, plastics, stabilizers, antioxidants, photographic, copying, antistatic agent, epoxides, coatings, metal plating
 - Mustard gas precursor
- ▶ Arsenic Trichloride
 - Catalyst in CFC manufacture, semiconductor precursor, intermediate for pharmaceuticals, insecticides
 - Lewisite precursor





From: Chemical Weapons Convention: Implementation Assistance Programme Manual (on CD)

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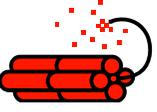
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Dual-use Chemicals: Explosives



- ▶ Theft of conventional explosives
 - Chemical suppliers
 - Users such as mines or construction sites
- ▶ Diversion of industrial or laboratory chemicals
 - Chemical suppliers
 - Chemical factories
 - Academic teaching or research laboratories
 - Disposal sites




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Theft / manufacture of explosives: Fertilizer Bomb



Photo: US DOD

- ▶ Ammonium nitrate fertilizer and fuel oil (diesel, kerosene)
- ▶ Used to bomb Alfred P. Murrah building in Oklahoma City, OK, USA
 - with nitromethane and commercial explosives
 - 168 dead, including children
 - April 1995
- ▶ Favored by IRA, FARC, ETA, etc.

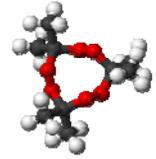
69





Theft / manufacture of explosives: TATP

- ▶ Triacetone triperoxide (TATP)
- ▶ Invisible to detectors looking for N-based explosives
- ▶ Made using acetone, hydrogen peroxide, strong acid (HCl, sulfuric)
- ▶ Favored by terrorists "Mother of Satan"
 - 1997–New York subway suicide bomb plot
 - 2001–Richard Reid "shoe bomber"
 - 2005–London suicide bombs
 - 2009 – Arrest of N. Zazi, NY and Denver




CAS: 17088-37-8

Wikipedia downloaded Oct 2009
http://en.wikipedia.org/wiki/Acetone_peroxide

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Diversion of industrial / laboratory chemicals: Sodium azide



- ▶ Widely available from older automobile airbags
 - 1980s to 1990s
- ▶ Poisonous
- ▶ Reacts explosively with metals
 - Biological laboratory drains have exploded from discarded waste solutions containing NaN_3 as a preservative.
- ▶ Has been found in possession of terrorists

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Diversion of industrial / laboratory chemicals: Bali bombing

- ▶ Amrozi purchased chemicals used to make bombs
- ▶ One ton of potassium chlorate* purchased in three transactions from the Toko Tidar Kimia fertilizer and industrial chemicals store in Jalan Tidar, Surabaya, owned by Sylvester Tendean.
 - Claimed he was a chemical salesman.
 - Obtained a false receipt saying he purchased sodium benzoate.
 - Tendean lacked proper permit to sell this chemical, didn't know the chemical would be used to make a bomb.
- ▶ Details of Aluminum powder purchases not known

* Some press reports state potassium chloride, but this is clearly an error
<http://www.smh.com.au/articles/2003/06/09/1055010930128.html>
<http://www.thejakartapost.com/news/2002/12/18/amrozi-owns-possessing-chemicals.html>

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International Chemical Controls

73






International Chemical Control Groups



ORGANISATION FOR THE PROHIBITION OF CHEMICAL WEAPONS

Chemical weapons convention

The Australia Group

Export controls

UN Security Council Resolution 1540

74






Organization for the Prohibition of Chemical Weapons (OPCW)



- ▶ International group headquartered in The Hague, Netherlands
 - <https://www.opcw.org/index.html>
- ▶ Chemical weapons convention (CWC)
 - International treaty which bans the development, production, stockpiling, transfer and use of chemical weapons
- ▶ Promotes international cooperation in peaceful uses of chemistry
- ▶ Protecting each other



75






Chemical Weapons Convention (CWC)



- ▶ International treaty which bans the development, production, stockpiling, transfer and use of chemical weapons
 - Entered into force in April 1997 with 87 State Parties participating
 - Today: 183 nations have joined, 5 others have signed, only 7 have not taken any action.
 - Each nation enacts appropriate laws
 - Each nation agrees to assist other Member States





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CWC: Destroy existing stockpiles and facilities

- ▶ Twelve States have declared CW production facilities.
 - Bosnia and Herzegovina
 - China
 - France
 - India
 - Islamic Republic of Iran
 - Japan
 - Libyan Arab Jamahiriya
 - Russian Federation
 - Serbia
 - United Kingdom of Great Britain and Northern Ireland
 - United States of America
 - another State Party
- ▶ As of August 2007, 42 of 65 declared CW production facilities have been certified as destroyed, 19 converted to peaceful purposes.
- ▶ As of August 2007, 23,912 metric tonnes of CW agent has been destroyed out of 71,330 metric tonnes declared.
- ▶ On 11 July 2007, the OPCW confirmed the destruction of the entire chemical weapons stockpile in Albania.
- ▶ Includes old and abandoned CW munitions

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CWC: Prevent spread or production of new chemical weapons

- ▶ States declare and agree to inspections of many other chemical facilities, depending on chemical type and amount produced
- ▶ Over 3,000 inspections have taken place at 200 chemical weapon-related and over 850 industrial sites on the territory of 79 States Parties since April 1997
- ▶ Worldwide, >5,000 industrial facilities are liable to inspection




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CWC: Chemicals on schedules subject to verification measures

- ▶ **Schedule 1:**
 - Known CW agents
 - Highly toxic, closely related chemicals, or CWA precursors
 - Has little or no peaceful application
- ▶ **Schedule 2:**
 - Toxic enough to be used as a CWA
 - Precursor to or important for making a Schedule 1 chemical
 - Not made in large commercial quantities for peaceful purposes
- ▶ **Schedule 3:**
 - Has been used as a CWA
 - Precursor to, or important for making a Schedule 1 or 2 chemical
 - Is made in large commercial quantities for peaceful purposes
- ▶ **Unscheduled Discrete Organic Chemicals (UDOC)**
- ▶ Lists of scheduled chemicals are on workshop CD.

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CWC: Reporting requirements

- ▶ Use/transfer of these chemicals is allowed for research, medical, or pharmaceutical purposes.
- ▶ Reporting requirements depend on facility type, chemical types and amounts.
 - **"Other Facility"** type, as defined in CWC, most relevant
 - *Amounts of chemicals* required for your National Authority to approve the work and report your institution annually to the OPCW:
 - **Schedule 1:** 100 g aggregate
 - **Schedule 2:** 1 kg for 2A*, 100 kg for other 2A, 1 Tonne of 2B
 - **Schedule 3:** 30 Tonnes
 - UDOC: 30 or 200 Tonnes (lower number if contains P, S, or F)

Caution!!
Your country might require reporting of lower amounts!

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OPCW: Protecting each other

- ▶ Each member state can request assistance from other member states in the event of a threat or attack, including chemical terrorism
- ▶ This can take the form of expertise, training, materials, and/or equipment





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Australia Group

- ▶ An informal arrangement to minimize the risk of assisting chemical and biological weapon (CBW) proliferation.
 - Harmonizing participating countries' national export licensing measures
 - Started in 1985 when Iraq CW program was found to have diverted chemicals and equipment from legitimate trade
- ▶ 40 nations plus European Commission participate


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Australia Group: Export Controls

- ▶ Controls exports of:
 - 63+ Chemical weapon agent precursor chemicals
 - Dual-use chemical manufacturing facilities and equipment and related technology
 - Dual-use biological equipment and related technology
 - Biological agents
 - Plant pathogens
 - Animal pathogens
- ▶ Includes no-undercut policy
 - Countries won't approve an export that another member country denied




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UN Security Council Resolution 1540

- ▶ Unanimously passed on 28 April 2004
- ▶ UN Member States:
 - must **refrain from supporting non-State actors** in developing, acquiring, manufacturing, possessing, transporting, transferring or using nuclear, chemical or biological weapons and their delivery systems.
 - must establish domestic controls to prevent the proliferation of nuclear, chemical and biological weapons, and their means of delivery, including by establishing appropriate controls over related materials.
- ▶ Enhanced international cooperation is encouraged, promoting universal adherence to existing international non-proliferation treaties.


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Components of Chemical Security

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Chemical Security Questions

- Is your facility secure?
- How easy would it be for someone to steal chemicals?
- Are the chemistry workrooms, stockrooms, classrooms and labs always locked and secure?
- Is someone always there when these rooms are open?
- Do you check your orders when chemicals arrive to be sure some chemicals are not missing?

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Components of Chemical Security

- Physical security of site
- Personnel management
- Information security
- Management of chemical security activities
- Allocation of chemical security responsibilities
- Development of emergency plans
- Chemical security training

Goal: Ensure that you don't accidentally help a criminal or a terrorist get dangerous chemicals

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Chemical Security: Physical Site

LOCK UP!!



Controlled drugs

Chemical Surety Agents

Highly toxic chemicals




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Facility Characterization



Characterize the facility in terms of:

- Site boundary
- Buildings (construction and HVAC systems)
- Room locations
- Access points
- Processes within the facility
- Existing Protection Systems
- Operating conditions (working hours, off-hours, potential emergencies)
- Safety considerations
- Types and numbers of employees
- Legal and regulatory issues



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Facility Characterization

Facility characterization provides important data to:

- identify assets to be protected and their locations,
- survey the existing physical protection system components at the facility,
- document facility layout for security analysis.



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Threat Definition

Threat classes:

- Outsiders—no authorized access
- Insiders—authorized access
- Collusion—between Outsiders and Insiders




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Target Identification

- Determine the possible targets for the following actions:
 - Sabotage
 - identify vital areas to protect
 - Theft of chemicals
 - Theft of information
 - identify location of materials to protect






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Chemical Security: Personnel Management

- Guard against both *Insider and Outsider* threat
- Who checks people entering the building?
- Who has keys? How do they get authorized?
 - Building
 - Stockroom
 - Individual Labs
- When someone leaves, do you make sure they turn in keys?
 - Don't want people making duplicate keys




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Chemical Security: Information Security

- How do you track chemical inventory?
 - Is the information secured so unauthorized people can't read it or alter it?
- Would you know if:
 - some toxic chemicals disappeared overnight?
 - some toxic chemicals didn't arrive?
 - someone was ordered chemicals in the name of your institution but diverted them?




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Chemical Security: Assign Responsibilities

- Identify people responsible for various chemical security activities:
 - physical security, building modifications
 - chemical tracking and reporting
 - personnel and access management
 - information management
 - emergency planning
- Ensure they have the time and resources to do the job.
- Integrate with chemical safety responsibilities.




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Chemical Security: Professional Behavior

- **Chemical professionals**
 - use their scientific knowledge in a responsible manner.
- **Chemical Educators**
 - need to train their students to use their scientific knowledge in a responsible manner.





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Relationships between Chemical Security and Chemical Safety

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Relationships Between Chemical Safety and Security

- **Chemical safety:**
 - Protection against *accidents*
- **Chemical security:**
 - Protection against *deliberate* harm

Many practices are the same for chemical safety and security, but there are a few areas of conflict.




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Good Practices for Both Chemical Safety and Security

- **Minimize use of hazardous chemicals.**
 - Replace with less-hazardous chemicals, if possible.
 - Reduce scale of experiments.
- **Minimize supply of hazardous chemicals.**
- **Restrict access to hazardous chemicals.**
 - Know what you have.
 - Know how to store, handle and dispose of what you have.
 - Know who has access to materials, knowledge and expertise.
- **Plan what to do in an emergency.**



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Conflicts Between Chemical Safety and Security: Information Sharing

Science generally means **sharing information widely**, but this may not always be advisable.

- **Safety**
 - label everything so people recognize hazardous chemicals,
 - let community and especially emergency responders know what chemical dangers exist,
 - share knowledge about chemical hazards so people know to be alert.

- **Security**
 - labels help identify targets for theft or attack,
 - sharing locations of chemicals can publicize targets for theft or attack,
 - sharing knowledge of chemical hazards could inspire harmful behavior (copy-cat criminals).

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Conflicts Between Chemical Safety and Security: Facility Exits

Locking exit doors is **secure**, but not **safe**.

- For **safety**, people need to be able to leave the facility quickly and by many routes.
- For **security**, you want to control exits as well as entrances so chemicals (or equipment) are not taken.



CSP
CHEMICAL SECURITY
ENHANCEMENT PROGRAM

101

Chemical
SAFETY AND SECURITY TRAINING



Setting Priorities

- Labs need to be **safe**, **secure** and **productive**.
 - policies and practices need to be flexible enough to allow for the uncertainties of research.
 - policies and practices need to align with local laws, regulations, practices and culture. Can't just copy from somewhere else.
- **Use risk-based security and safety measures.**
 - can't afford to defend against every imaginable hazard.
 - identify threats, characterize facilities, identify alternatives, analyze costs vs. performance.
- **Be alert** for suspicious activities or inquiries.

CSP
CHEMICAL SECURITY
ENHANCEMENT PROGRAM

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Chemical
SAFETY AND SECURITY TRAINING



All Chemical Facilities Need to be Secured




- **Small-scale research laboratories**
 - Many different chemicals used in small amounts.
- **Large-scale manufacturing plants**
 - Limited types of chemicals used in large amounts.
- **Security measures need to match facility and threat**
 - Can't afford to defend against all imaginable threat.

CSP
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ENHANCEMENT PROGRAM

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Chemical
SAFETY AND SECURITY TRAINING



Lunch

CSP
CHEMICAL SECURITY
ENHANCEMENT PROGRAM



Chemical Safety and Security Officer Training Workshop Orientation

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Workshop Materials





Binder:

- Presentations (in Arabic)
- Compact Discs (CDs)
- Resource section
 - Useful Websites
 - Information for labs and teaching resources
 - List of items on CDs

CDs:

- Presentations (in Arabic)
- Resource section (same as Binder)
- Additional resources
 - PDF of Chemical Security Reference and Toolkit

Chemical Security Reference Book and Toolkit:

- Book for institutional/academic CSS planning
- Guidelines for chemical storage groups
- Toolkit:
 - Instructor's guide
 - Case studies/lessons
 - Lab hazard signs





Workshop Activities

- ▶ **Group Exercises:**
 - MSDS & SOP-writing Process
 - Lab Hazard Assessment
 - Lab Improvements Plan
 - Prioritization
 - CSS Action Team
 - Improvement & Maintenance Schedule
 - Presentation of Findings and Plans
- ▶ **Laboratory Visits:**
 - Lab Inspection demonstration
 - Simple chemical spill cleanup demonstration
 - Practice Lab Inspection
 - Practice simple chemical spill cleanup
- ▶ **Open Discussions and Case Studies:**
 - ▶ Throughout workshop, Case Studies on Day 5.
- ▶ **Handouts:**
 - Throughout workshop, Presentations and Exercises.




Chemical Security Engagement Program

Interactive Website

SAND No. 5293962 2011-3177 W
Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company,
for the United States Department of Energy's National Nuclear Security Administration
under contract DE-AC04-94AL85000




The screenshot shows the home page of the Chemical Security Engagement Program (CSP). The header includes the Sandia National Laboratories logo and navigation links: Home, Workshops, Share Your Training, Discussion Board, Resources, and Contacts. The main content area features the CSP logo and a navigation menu. Below the logo, there is a section titled "About Our Program" with several sub-sections: "Raise Awareness: Dial Use Nature of Chemicals", "Foster Collaboration among Chemical Professionals Worldwide", and "Provide Training Opportunities and Technical Assistance to Improve Chemical Safety and Security in Laboratories". A "Program Objectives" section is also visible at the bottom left. On the right side, there is a "Current Events" section listing upcoming training sessions in Indonesia, Malaysia, and the Philippines. A "Partners" section lists various organizations like the American Chemical Society and the Chemical Industry Council of Mexico.

This screenshot shows the login page of the CSP website. It features a login form with fields for "Login Name" and "Password", and a "Log In" button. A "Forgot your password?" link is provided for users who have forgotten their credentials. The page also includes a search bar at the top right and a footer with copyright information for Sandia Corporation and a link to the NNSA logo.

The screenshot displays the "Lost Password" page. It contains a form for password reset with a "My user name is" field and a "Start password reset" button. A note explains that passwords are stored encrypted and cannot be mailed. A footer section includes copyright information and the NNSA logo.

This screenshot shows the "Workshops" page. It lists several workshops by date: "Indonesia & Malaysia - June 2011", "Qatar - May 2011", "Industry - Philippines and Malaysia (February/March 2011)", and "Malaysia (Feb) - February 2011". On the right side, there is a search bar and a "Workshops by year" section with a list of years from 2011 to 2008. Below that, there is a "Where We've Been" section with links to a "Map of Participant Countries" and "Countries & Institutions".

This screenshot shows the 'Workshops' page for the Indonesia & Malaysia - June 2011 event. The page features a navigation menu with 'Workshops' selected. The main content area includes a search bar, a 'Workshops' section with a red header, and a list of workshops by year (2011, 2010, 2009, 2008). There is also a 'Where We've Been' section with links to 'Map of Participant Countries' and 'Countries & Institutions'. A comment form is visible at the bottom left.

This screenshot shows the 'Workshops' page for the Indonesia & Malaysia - June 2011 event, specifically for Day 5. The page features a navigation menu with 'Workshops' selected. The main content area includes a search bar, a 'Workshops' section with a red header, and a list of workshops by year (2011, 2010, 2009, 2008). There is also a 'Where We've Been' section with links to 'Map of Participant Countries' and 'Countries & Institutions'. A comment form is visible at the bottom left.

This screenshot shows the 'Indonesia/Malaysia Trainings' page. The page features a navigation menu with 'Workshops' selected. The main content area includes a search bar, a 'Workshops' section with a red header, and a list of workshops by year (2011, 2010, 2009, 2008). There is also a 'Where We've Been' section with links to 'Map of Participant Countries' and 'Countries & Institutions'. A comment form is visible at the bottom left.

This screenshot shows the 'Map of Participant Countries' page. The page features a navigation menu with 'Workshops' selected. The main content area includes a search bar, a 'Workshops' section with a red header, and a list of workshops by year (2011, 2010, 2009, 2008). There is also a 'Where We've Been' section with links to 'Map of Participant Countries' and 'Countries & Institutions'. A comment form is visible at the bottom left.

Map of Participant Countries

Click on the Country & Institution link to view a list with the cumulative number of people from each institution we have trained.

Number of Participants: 339

Algeria	Bahrain	Burkina Faso	Cameroon
Egypt	Ethiopia	Indonesia	Jordan
Lebanon	Malaysia	Morocco	
Nigeria	Pakistan	Philippines	Thailand
Tanzania	United Arab Emirates	Vietnam	Yemen

Countries & Institutions

Below is a cumulative list of the number of people in each country and institution we have trained in in the previous three years.

Location	Participants
Algeria	Field: 6
Bahrain	Field: 4
Burkina Faso	Field: 4
Cameroon	Field: 1
Cameroon	Field: 1
Egypt	Field: 1
Ethiopia	Field: 1
Indonesia	Field: 1
Jordan	Field: 1
Lebanon	Field: 1
Malaysia	Field: 1
Morocco	Field: 1
Nigeria	Field: 1
Pakistan	Field: 1
Philippines	Field: 1
Thailand	Field: 1
Tanzania	Field: 1
United Arab Emirates	Field: 1
Vietnam	Field: 1
Yemen	Field: 1

Share Your Training

If you have attended a workshop and have trained others on chemical security, please fill out the following form - Required field

Name's First Name: _____

Name's Last Name: _____

E-Mail Address: _____

Phone: _____

Date of Training: _____

Reporting Location/Office: _____

Training Date: _____

Participants Institution: _____

Number of Participants: _____

Type of Training: Academic Industry Other

Other, please specify: _____

What Agency/Office or POC Host: _____

Additional Comments: _____

Submit

Discussion Board

Add a comment | Share a document

All comments and shared documents will be posted upon review by the Chemical Security Team.

Add comment

You can add a comment by filling out the form below. Plain text formatting

Name +
Please enter your name: _____

Subject +

Comment +

Save

This screenshot shows the 'Discussion Board' section of the Chemical Security Engagement Program website. The page features a search bar at the top right and a navigation menu with options like Home, Workshops, Share Your Training, Discussion Board, Resources, and Contacts. The main content area includes a 'Discussion Board' heading, an 'Add File' form with fields for Title, Description, and File, and a search box. A sidebar on the right contains a search box and a logo for the program, which is noted as being sponsored by the U.S. Department of State. The footer includes copyright information for Sandia Corporation and a link to the NNSA website.

This screenshot displays the 'Resources' page of the Chemical Security Engagement Program website. It features a search bar at the top right and a navigation menu. The main content area is titled 'Resources' and includes sections for 'Brochure' (with a download link for a 7.2 MB PDF), 'Useful Websites', and 'Chemical Safety'. The 'Chemical Safety' section lists various resources such as 'Prudent Practices in the Laboratory', 'Promoting Chemical Laboratory Safety and Security in Developing Countries', and 'Safety in Academic Laboratories'. A sidebar on the right contains a search box and a logo for the program, sponsored by the U.S. Department of State.

This screenshot shows the 'Contacts' page of the Chemical Security Engagement Program website. It features a search bar at the top right and a navigation menu. The main content area is titled 'Contacts' and provides contact information for three individuals: Brian Carter (Program Manager, Office of Cooperative Threat Reduction), Nancy Jackson (Manager, Chemical Threat Reduction Department), and Melissa Herron (Cooperative International Programs Business Operations Department). Each contact entry includes their name, title, and contact details (address, phone, fax, and email). A sidebar on the right contains a search box and a logo for the program, sponsored by the U.S. Department of State.

This is a title slide for a presentation titled 'Chemical Safety and Security Program Organization and Responsibilities'. The slide features a molecular structure graphic in the top left corner. The main text is centered in a large, bold font. In the bottom left corner, there is a logo for the Chemical Security Engagement Program (CSEP). In the bottom right corner, there is a logo for the Chemical Safety and Security Training program. The page number '124' is displayed in the bottom center.



Chemical Safety and Security Program Purpose

- ▶ Help establish a safe and secure workplace.
- ▶ Help safeguard the environment.
- ▶ Prevent/reduce release of hazardous chemicals and operations.
- ▶ Prevent/reduce exposure to staff.
- ▶ Reduce stress.
- ▶ Enhance community relations.
- ▶ Comply with regulations.
- ▶ Crisis management




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Crisis Management: Prevention & Response

- Facility crisis
 - Fire
 - Explosion
 - Chemical release
- Natural disaster
 - Earthquakes
 - Hurricane/typhoon
 - Tsunami
- Disgruntled personnel
 - Employees
 - Ex-workers
 - Students
- Demonstrations, protests
- Evacuation/reoccupancy
- Terrorism



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Crisis Management: Criminal & Terrorism Concerns

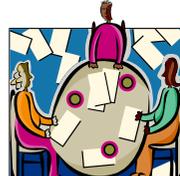
- ▶ Theft
 - Chemicals, materials
 - Equipment
- ▶ Bombing
- ▶ Toxic release
- Physical security
 - Fences
 - Cameras
 - Guards
- Internal security
 - Personnel background checks
 - Property/information safeguards
 - Employees, contractors, students



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Chemical Safety and Security Applies to Everyone




- Administration
- Human Resources
- Purchasing
- Facilities
- Construction
- Police/Security
- Department Administration
- Research Administration
- Employees
- Students
- Contractors
- All visitors



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Faculty/Principal Investigator

has the responsibility

to *teach, model* and *encourage*
good Chemical Safety and
Security practices



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Principal Investigator CSS Responsibilities

- ▶ Develop procedures with CSSO for unique hazards and chemicals (e.g. carcinogens)
- ▶ Develop proper control practices with CSSO
- ▶ Participate in developing CSS Plan, CSS Committee, accident investigations
- ▶ Ensure CSS documents and records are maintained
- ▶ Maintain local chemical inventory for their lab
- ▶ Ensure (M)SDS are available in the laboratory
- ▶ Facilitate compliance with policies, guidelines and regulations



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CSS Responsibilities Principal Investigator, cont'd.

- ▶ Ensure students/workers know and follow policies and practices
- ▶ Ensure equipment and controls are properly maintained
- ▶ Ensure all students/workers received proper training and refreshers
- ▶ Ensure new students/workers receive proper training before starting work
- ▶ Inform CSSO of any accidents and incidents
- ▶ Follow-up on accidents and incidents



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Employees and Students

have a responsibility

to *actively* support and participate
in the CSS Program.




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Employee/Student CSS Responsibilities

- ▶ Follow policies/rules
- ▶ Wear Personal Protective Equipment (PPE)
- ▶ Report accidents, incidents/near misses, problems
- ▶ Learn about hazards of specific chemicals
- ▶ Suggest changes and improvements
- ▶ Work safely
- ▶ Do not put others at risk
- ▶ Encourage good safety and security
- ▶ Behave responsibly




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Employee/Student CSS Responsibilities

- ▶ Understand and act in accordance with policies and practices
- ▶ Use engineering controls properly
- ▶ Follow good chemical safety practices
- ▶ Participate in required training
- ▶ Read & understand CSS related documents
- ▶ Report accidents, incidents
- ▶ Suggest improvements and changes to the CSS Program




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Chemical Safety and Security Officer

The CSSO has the responsibility...

to provide expertise and information so that a safe and healthy workplace is present



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The Function of the CSSO is to Act as a Co-Worker, *NOT* as a Policeman



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Chemical Safety and Security Committee

has the responsibility...

to oversee and monitor the CSS Program for management so that a safe and healthy workplace is maintained



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Chemical Safety and Security Committee Responsibilities

- ▶ Reports directly to senior management
- ▶ Endorses policies
- ▶ Meets regularly (2 - 4 times/yr) with agendas
- ▶ Reviews accidents and incidents, may investigate, write reports with recommendations
- ▶ Establishes appropriate subcommittees on specific topics




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Chemical Safety and Security Committee Composition

- ▶ Chaired by committed staff
- ▶ CSSO is ex-officio member
- ▶ Includes representatives from:
 - Facilities Management
 - Security
 - Administration
 - Faculty/Staff
 - Teaching Assistants/Graduate Students
 - Shops/Unions
- ▶ Representatives should rotate every few years



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Management CSS Responsibilities

<p>Commitment:</p> <ul style="list-style-type: none"> ▶ Establish a formal CSS Program ▶ Announce formation of a CSS Program ▶ Create a written policy statement ▶ Designate a Chemical Safety and Security Officer ▶ Endorse a written CSS Plan (Manual) ▶ Participate and intervene as needed 	<p>Support:</p> <ul style="list-style-type: none"> ▶ Financial support (budget) ▶ Staffing ▶ Response/resolution of problems by <ul style="list-style-type: none"> ◦ Establishing a CSS Committee ▶ Stipulates CSS is part of everyone's job <ul style="list-style-type: none"> ◦ CSS applies to everyone ◦ Specifies CSS orientation for new employees ▶ Supports CSS staff
--	---



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Management CSS Responsibilities

POLICY STATEMENT

Documents and describes the commitment and support from the highest management level for the Chemical Safety and Security Program



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Policy Statement Purpose

Establish and provide for maintenance of an effective Chemical Safety and Security Program to protect:

- **Employees**
- **Facility**
- **Neighbors**
- **Environment**
- **Comply with regulations**




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Policy Statements

- ▶ By senior management
- ▶ Typically brief
- ▶ Clear goals
- ▶ Commitment
- ▶ Defines employee role
- ▶ Identifies resources and staff
- ▶ Signed by person in authority




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Director/President CSS Responsibilities

- ▶ Establish an effective CSS Program
- ▶ Provide for a budget
- ▶ Endorse written Policies, Plans and Manuals
- ▶ Appoint CSS Officers
- ▶ Ensure CSSO has responsibility, authority and accountability to perform assigned duties
- ▶ Establish a CSS Committee
- ▶ Maintain support and endorsement
- ▶ Timely response to Safety Committee recommendations
- ▶ Follow and set example, e.g., wears PPE




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Chemical Safety and Security Program Ideal Roles

- ▶ Culture of Chemical Safety and Security should exist at all levels of the organization.
- ▶ Top management sets policy, provides resources.
- ▶ Workers, students, researchers must understand and implement.
- ▶ Many organizational interactions are important for chemical safety and security

After Fig 1-1 in Prudent Practices in the Laboratory, NRC 1995

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CSS Program Evaluation

- ▶ Management leadership
- ▶ Employee involvement
- ▶ Administrative controls
- ▶ Security controls
 - Access to buildings, materials
- ▶ Engineering controls
- ▶ Accident/incident investigation
- ▶ Training
- ▶ Use of Personal Protective Equipment (PPE)
- ▶ Emergency Response Program
- ▶ Medical Surveillance Program
- ▶ Work site analysis
 - Inspections, surveys, hazard analysis

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Chemical Safety and Security Officer Duties

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CSSO Duties Include:

- Surveys
- Job Hazard Analysis
- Inspections
- Training
- Medical Monitoring
- Investigations

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CSSO Duties

- ▶ Oversee procurement, use, storage & disposal of hazardous materials
- ▶ Set criteria for exposure levels
- ▶ Write and revise CSS Plan
- ▶ Train, document and ensure training is performed
- ▶ Perform risk assessment and monitoring
- ▶ Conduct audits and inspections
- ▶ Investigate and report on accidents, incidents
- ▶ Interact with staff to correct deficiencies
- ▶ *Follow up* to ensure correction and resolution of issues



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CSSO Duties

- ▶ Consult/advise project management on CSS concerns
- ▶ Coordinate with Principal Investigators
- ▶ Coordinate and facilitate medical surveillance
- ▶ Coordinate record keeping
- ▶ Coordinate with BSO, RSO, facilities, administration, security



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Hazard Survey

- ▶ Baseline
- ▶ Periodic (inspections)
- ▶ Identify potential job hazards, material hazards, and process hazards




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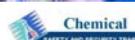

Hazard Survey Process

- ▶ Prepare survey form
- ▶ Walk-through
- ▶ Take measurements
 - Sample if necessary, monitor exposure (e.g., formaldehyde, radiation)
- ▶ Data analysis
- ▶ Write and deliver report





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Job Hazard Analysis (JHA)

Hazards associated with a particular task become apparent from a brief survey:

- Compile steps needed to complete job.
- Analyze each step in detail.
 - Could exposure occur?
 - Could an accident occur?
 - Could a change in practice / process could create hazard?
- Develop recommendations on precautions to eliminate/minimize hazard.




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Periodic Lab Inspections



- ▶ Done by CSSO
- ▶ Coordinate with lab supervisor/PI/occupants/safety representative
- ▶ Team may include:
 - Peers
 - Facilities representative
- ▶ Frequency determined by hazards present and local practices
 - 2 – 4 times/yr
- ▶ Look for:
 - Good and bad practices
 - new hazards
 - new security issues



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Training Program

- ▶ Determine if training is needed, e.g., JHA
- ▶ Identify needs
- ▶ Identify Goals & Objectives
- ▶ Develop training activities
- ▶ Identify resources
- ▶ Conduct training
- ▶ Evaluate effectiveness
- ▶ Improve program




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Employee Training Topics

- ▶ New employee orientation
- ▶ Specialized laboratory equipment and procedures
- ▶ Recognize Occupational Exposure Limits (OEL) for hazardous chemicals; (M)SDS
- ▶ PPE use, storage and maintenance (especially respirators)
- ▶ Fire safety and fire extinguisher use
- ▶ Emergency plans, evacuation procedures & routes
- ▶ Ionizing radiation
- ▶ Non-ionizing radiation, lasers, microwaves
- ▶ Special exposure, e.g., formaldehyde
- ▶ Biosafety, Bloodborne pathogens
- ▶ Facility security requirements
- ▶ Animal Care facilities – use and techniques




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Training Documentation: Sample

- ▶ Employee name: _____
- ▶ Department: _____
- ▶ Date: _____

- ▶ Training Subject: _____
- ▶ Training Date: _____
- ▶ Re-instruction date: _____

- ▶ Employee Signature: _____
- ▶ Date Signed: _____
- ▶ Supervisor's signature: _____
- ▶ Date: _____



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Medical Surveillance vs. Biological Monitoring

Medical Surveillance	Biological Monitoring
▶ General program	▶ Chemical specific signs and symptoms
▶ Establishes baseline	▶ Known exposure levels
▶ Evaluates employees before potential exposure	▶ Documented exposure
▶ Documents past exposure and existing conditions	▶ Documented amounts of personal exposure
▶ Simpler, cheaper, less invasive medical testing	▶ Documented environmental exposure
▶ May be used in conjunction with biological monitoring	▶ Most specific, most expensive, more invasive





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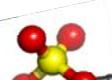



Guidelines for Incident Investigation

- ▶ Description/report of incident
- ▶ Review of organizational policy
- ▶ Start of investigation
- ▶ Cause of incident
 - Emphasis is prevention, *NOT* blame
 - Timely report with recommendations to all responsible parties including senior management
- ▶ Timely response to recommendations
 - Correction
 - Follow-up
 - Action taken
 - Training




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Break



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Chemical Safety and Security Plan




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First step: Collect information

- ▶ Writing a good CSS plan requires a lot of information
- ▶ Assessment questionnaires can be used to collect such information
- ▶ Distribute to:
 - Pls
 - Management
 - Facilities
 - Security
 - Medical




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Assessment Questionnaire

- ▶ Who is responsible for CSS compliance?
 - Criteria for exposure control
 - Developing exposure control measures
 - Exposure monitoring
 - Identification of hazardous materials
 - Limited access policy
 - Ventilation maintenance
 - Safety equipment
 - Personal protective equipment
 - Training
 - Hazardous waste management
 - Medical surveillance
 - Emergency response





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Assessment Questionnaire, cont'd.

- ▶ List individuals (managers, Pls, professionals, technicians) with Safety & Security responsibilities; indicate SO, CSSO, BSO, RSO, etc.
- ▶ Who maintains CSS records?
- ▶ Is there a Safety/Security Committee?
 - Responsibilities
 - Who are the members?
 - How often do they meet?
- ▶ Is there a CSS Manual, Plan?
- ▶ Are there CSS policies?
- ▶ Is there an Emergency Response Plan?
- ▶ Are routine CSS inspections conducted?
 - By whom
 - Details





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Chemical Safety and Security Plan

- ▶ Includes CSS Policy Statements from senior management.
- ▶ Describes the entire Program.
- ▶ Describes the organization of the Program.
- ▶ Explains everyone's responsibilities.
- ▶ Describes in general terms policy and who, what, where and why a safety or security task or job is performed.
- ▶ Includes references, if necessary.



CSP CHEMICAL SECURITY ENFORCEMENT PROGRAM 165 **Chemical** SAFETY AND SECURITY TRAINING

Parts of a Chemical Safety and Security Plan

- ▶ Policy statement from Senior Management
- ▶ Safety & Security Organization
 - Management
 - Responsibilities
 - Management
 - Administration
 - CSSO staff
 - Facilities Management
 - Principal Investigators
 - Staff
 - Contractors
- General Policies:
 - General housekeeping
 - Eating, smoking areas
 - Signs & labels
 - Emergency procedures
 - Chemical storage
 - Personal protective equipment
 - Respirator protective program

CSP CHEMICAL SECURITY ENFORCEMENT PROGRAM 166 **Chemical** SAFETY AND SECURITY TRAINING

Parts of a Chemical Safety and Security Plan, cont'd.

- ▶ Engineering Controls
 - Ventilation
 - Laboratory hoods
- ▶ Waste Management
- ▶ Training
- ▶ Record keeping
- ▶ Fire Protection & Protection
- ▶ Location of emergency equipment
- ▶ Evacuation plans
- ▶ Personal and environmental monitoring
- ▶ Inspections
- ▶ Medical surveillance
- ▶ Administration
 - Purchasing chemicals
 - Purchasing safety equipment





CSP CHEMICAL SECURITY ENFORCEMENT PROGRAM 167 **Chemical** SAFETY AND SECURITY TRAINING

Standard Operating Procedures (SOPs)

- ▶ An **SOP** explains *concisely and precisely* how, where and who performs a task.
- ▶ It does *not* explain why the task is done.
- ▶ The **Safety and Security Plan** explains policy and why a task is performed

CSP CHEMICAL SECURITY ENFORCEMENT PROGRAM 168 **Chemical** SAFETY AND SECURITY TRAINING



Standard Operating Procedures (SOPs), cont'd.

- ▶ SOPs are:
 - Dated
 - When issued
 - When reviewed
 - When revised
 - Have: subject, title and identification code
 - Officially reviewed by management
 - Signed by all responsible parties
 - May include forms
 - Written in a consistent and official format with numbered pages




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Standard Operating Procedures (SOPs)

Consider written SOPs on:

- Security clearance and visitor access
- Employee training
- Medical surveillance
- Respiratory protection and fit
- Eye protection
- Ventilation system maintenance
- Storage, receipt, transport and shipping of hazardous materials
- Accident and emergency response including natural disasters
- Spill cleanup
- Waste management
- Hazardous material handling
- Special operations, radiation, biosafety, lasers, infectious agents



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Plan and SOPs Revision Guidelines

- ▶ CSS Plan → As needed, every 5 years
- ▶ (M)SDS → As received
- ▶ Laboratory Hoods → As needed
- ▶ Training records → Yearly, and as needed
- ▶ Medical Surveillance → As needed, and every 12–18 months records
- ▶ Exposure monitoring
- ▶ Waste records } As needed



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Record Retention Recommendations

- ▶ Personal records kept by Human Resources for the duration employment + 30 years.
- ▶ Medical records are *confidential* and should be kept by the examining physician for duration of employment + 30 years.
- ▶ Most other records (e.g., routine monitoring, should be kept for 5 years after date of performance).

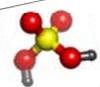


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Chemical Safety & Security

Standard Operating Procedures: SOP Exercise



SOP Exercise: Electrophoresis

- ▶ To develop an SOP:
 - focus on **safety portion of SOP**, ask/answer:
 - mitigation = PPE, engineered controls, operational controls, etc.
 - what are **reagents** & hazards, and mitigation?
 - what are **products** & hazards, and mitigation?
 - what are **equipment** hazards, and mitigation?
 - what **waste** is generated? Hazards, and mitigation?
 - how to store chemicals?
 - waste reduction?
 - how to dispose of waste?



SOP Exercise: Electrophoresis

- ▶ Refer to the **incomplete** SOP distributed:

Rev/Date: Rev01, 25/01/11	Institute/Dept: INH/DNA Lab	completed by: you	1 of 4
Title: DNA Separation via Electrophoresis	Location: SMF/ L-001	approved by: E. Hoefer	

Briefly explain the procedure for this task: When an electric charge is applied to an agarose gel, DNA migrates through the gel matrix at a rate inversely proportional to the log₁₀ of the number of bases. Super-helical, nicked circular, and linear DNA migrate at different rates relative to each other, and the relative mobility varies depending on many factors. DNA is visualized by the addition of a dye that intercalates between the stacked base pairs of the DNA molecule. Upon exposure to light of a specific wavelength, DNA-dye complexes emit fluorescent or luminescent light. Traditionally ethidium bromide dye has been used.

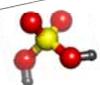


consider the experimental setup:

- equipment
 - electrophoresis units operate at ~100 volts
 - power supply
 - connecting leads
 - combs, loading strips, gel trays, buffer chamber, buffer recirculating ports, casting stand, lid
 - UV light illuminator, peristaltic pump
- samples
 - DNA



adapted from image by Patrick Besong.
<http://www.personal.psu.edu/pzb4/blogs/besong/2009/04/>



SOP Exercise: Electrophoresis

- ▶ Refer to the **incomplete** SOP distributed:
 - ▶ contains 3 columns:
 - ▶ procedure step
 - ▶ hazards ← fill in shaded areas
 - ▶ mitigations/controls ← fill in shaded areas

#	Step in Process	Hazards in this step	CONTROLS REQUIRED
0	Prepare Tris-Acetate-EDTA (TAE) Buffer:		
1.	Stock Solution of TAE Buffer: a) Make (50x) stock solution of TAE by: b) weigh out 242 g Tris base (FW = 121.14) c) dissolve in ~750 mL DI water d) add 57.1 ml glacial acetic acid e) add 100 mL of 0.5 M EDTA (pH 8.0) f) adjust to final volume of 1 L *Stock solution can be stored at room temperature. The pH is not adjusted and should be ~8.5.	Glacial Acetic Acid: <ul style="list-style-type: none"> • ??(hazards)?? EDTA & Tris base: <ul style="list-style-type: none"> • May be harmful if swallowed/inhaled. • Causes serious eye irritation. • EDTA harmful to aquatic life. 	<ul style="list-style-type: none"> • Wear PPE to minimize exposure acetic acid; ??(PPE)??; EDTA: irritant. • Do not allow down drains. • acetic acid spills..??(cleanup)?? • EDTA Tris base: avoid oxidizing agents.
2.	Working Solution of TAE Buffer: Working solution of 1x TAE buffer is made by diluting the stock solution by 50x in DI water. * Final solute concentrations are 40 mM Tris and 1 mM EDTA. Working buffer is ready to use in agarose gel electrophoresis.		





SOP Exercise: Electrophoresis

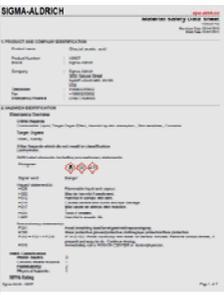
- Electrophoresis:
 - consider experimental setup (continued):
 - chemicals in this SOP:
 - agarose
 - Tris-Acetate-EDTA (TAE) = EDTA + **Acetic Acid** + Tris Base
 - **ethidium bromide**
 - other chemicals often used with electrophoresis:
 - polyacrylamide (acrylamide: $\text{CH}_2 = \text{CHCONH}_2$)
 - TEMED (*N,N,N,N*-tetramethylethylenediamine)
 - ammonium persulfate
 - CHAPS (3-[(3-cholamidopropyl)-dimethylammonio]-1-propane sulfonate)
 - Bromophenol blue ($\text{C}_{19}\text{H}_{9}\text{BR}_4\text{NaO}_5\text{S}$)
 - Dithiothreitol (DTT, $\text{C}_4\text{H}_{10}\text{O}_2\text{S}_2$)
 - EDTA (Ethylenediaminetetraacetic acid)
 - Tris ($\text{NH}_2\text{C}(\text{CH}_2\text{OH})_3$)
 - phenol
 - formoform



SOP Exercise: Electrophoresis

- ▶ Use MSDS information:
 - **MSDS sections:**
 - Section 2. **HAZARDS IDENTIFICATION.**
 - OSHA Hazards, Hazard statement(s), Precautionary statement(s), HMIS Classification, NFPA Rating, Potential Health Effects
 - Section 4. **FIRST AID MEASURES**
 - General advice, if inhaled, in case of skin contact, in case of eye contact, if swallowed
 - Section 5. **FIRE-FIGHTING MEASURES**
 - Suitable extinguishing media,
 - Section 7. **HANDLING AND STORAGE**
 - Precautions for safe handling, Conditions for safe storage
 - Section 8. **EXPOSURE CONTROLS/PERSONAL PROTECTION**
 - OELs, PPE
 - Section 10. **STABILITY AND REACTIVITY**
 - Chemical stability, Conditions to avoid, Materials to avoid, Hazardous decomposition products
 - Section 12. **ECOLOGICAL INFORMATION**
 - Toxicity



SOP Exercise: Electrophoresis

- ▶ Complete the SOP:
 - write in general safety/PPE at heading,
 - For **acetic acid** and **ethidium bromide**:
 - write in the hazards of each step,
 - write in proper waste handling,
 - write mitigation steps where they exist:
 - PPE, engineered controls, procedural controls, substitution/elimination
 - write in suggestions to reduce waste,
 - write in suggestions to improve SOP steps (for safety)

Chemical Safety & Security

Standard Operating Procedures: SOP Exercise





SOP Exercise: Electrophoresis

- ▶ **Hazards in this SOP:**
 - preparation of agarose gel solution
 - formation of super heated solution during heating with microwave.
 - Preparation of Buffer:
 - acetic acid (glacial) is flammable, strong acid
 - setting up/running electrophoresis system
 - electrical shock.
 - staining of gels
 - ethidium bromide is a known carcinogen
 - waste/disposal
 - dispose of chemicals and gels with ethidium bromide as hazardous waste





SOP Exercise: Electrophoresis

- **Hazards in other electrophoresis SOPs:**
 - preparation of polyacrylamide gel
 - flammable solvents used (isobutanol) in curing gel.
 - chemical exposure to acrylamide, SDS, TEMED and ammonium persulfate
 - acrylamide affects central and peripheral nervous system and reproductive system when swallowed, inhaled or absorbed through skin
 - TEMED, SDS and ammonium persulfate causes irritation to respiratory system, eyes and skin upon inhalation and contact
 - setting up/running electrophoresis system
 - electrical shock
 - SDS electrophoresis buffer may cause irritation to eyes and skin upon contact





SOP Exercise: Electrophoresis

- ▶ **Hazard Controls:**
- ▶ substitution:
 - glacial acetic acid – use:
 - premade/purchased TAE buffer
 - ethidium bromide (known mutagen) – use:
 - SYBR® Safe DNA gel stain (Invitrogen product)
 - SYBR® Green I is for dsDNA, and SYBR® Green II is for RNA and ssDNA
- ▶ PPE:
 - lab coat with full sleeves, splash goggles, nitrile gloves (latex is not effective), pants, and closed-toe shoes
 - skin and eye protection for UV radiation work
- ▶ waste/disposal:
 - some gels considered non-hazardous, for example, ethidium bromide <0.4 wt% in non-polyacrylamide gel can be placed into a closed bag, then into trash





SOP Exercise: Electrophoresis

- **Hazard Controls:**
- **thermal hazard:**
 - caution using microwaves to liquefy gels
 - don't use sealed containers,
 - beware of superheated liquids that may froth up,
 - let gels cool to 50–60°C before adding stain or pouring into trays,
 - wear insulated gloves,
 - point flask opening away from you.
 - **Process for agarose gel in microwave:**
 - loosen cap of bottle when heating solution,
 - heat for 30sec or less at a time,
 - heat agarose solution in intervals with occasional swirling
 - help agarose melt quickly without forming a superheated solution
 - fill solution only 1/3 of bottle volume,
 - standard PPE includes lab coat, gloves and safety glasses.






SOP Exercise: Electrophoresis

- ▶ Hazard Controls:
 - Chemical Hazards:
 - handle all hazardous chemicals or gel prep mixtures with hazardous components **in the fume hood**.
 - e.g., acrylamide monomer, ethidium bromide, phenol, ammonium persulfate, and formaldehyde
 - store all organic/flammable solvents in flammable storage cabinets.
 - purchase pre-made gels or solutions instead of making them.
 - pre-mixed acrylamide
 - ethidium bromide solutions.





SOP Exercise: Electrophoresis

- ▶ Electrical Hazard Controls:
 - ▶ power supplies:
 - ensure all switches and indicators are in proper working condition and that power cords and leads are undamaged,
 - label equipment with warning "Danger Electrical Hazard",
 - connect to ground fault circuit interrupters (GFCIs),
 - use 3-prong plugs,
 - use power supplies with safety features:
 - detect no-load, overload, sudden load change, short circuit, arc or ground leak, etc.
 - elevate electrophoresis power supply and locate away from electrophoresis tank.





SOP Exercise: Electrophoresis

- Electrical Hazard Controls (continued):
 - **Connecting leads:**
 - turn off main power supply before connecting or disconnecting electrical leads,
 - connect one lead at a time using one hand only, with dry gloved hands,
 - be sure that leads/banana plugs are fully seated.
 - **Using equipment:**
 - don't run equipment unattended,
 - keep equipment clear of accidental grounding points and conductors (e.g., sinks/water sources, metal plates, jewelry, aluminum foil, pipes or other electrical/metal equipment),
 - use gel chamber with safety interlocks to prevent accidental contact with electrodes or buffer solutions,
 - keep gel chamber exterior dry with no spills – check for leaks,
 - keep electrophoresis buffer below the maximum fill line.





SOP Exercise: Electrophoresis

- ▶ Emergency event:
 - inform supervisor, coworkers and first aid officer.
 - for major emergencies dial xxx.
 - nearest first aid kit in room xxx.
 - nearest safety shower in room xxx.
 - nearest fire extinguishers:
 - CO₂ fire extinguisher in rooms xxx and yyy;
 - dry chemical extinguisher beside store room.
 - evacuate per evacuation plan with Assembly Area in front of Building xxx.

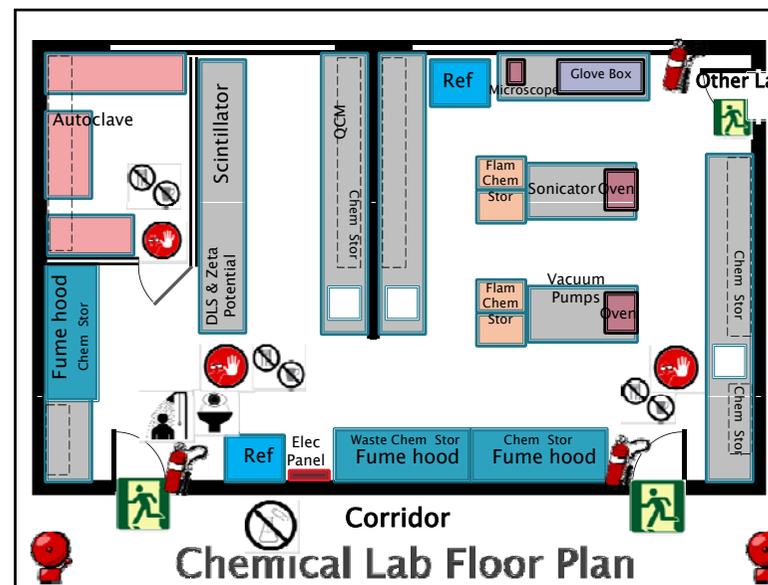
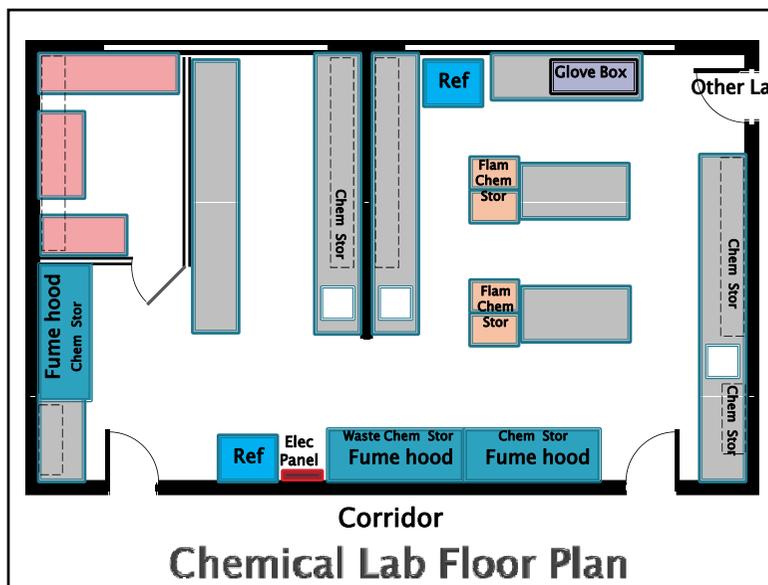
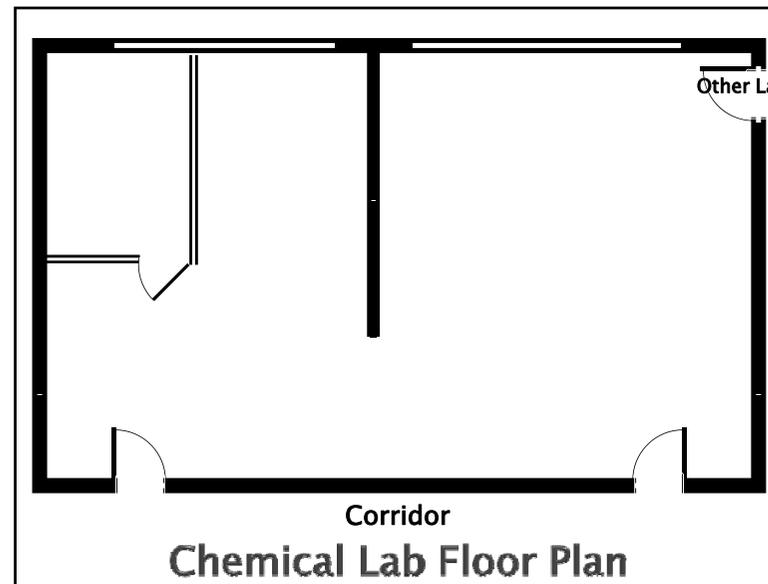


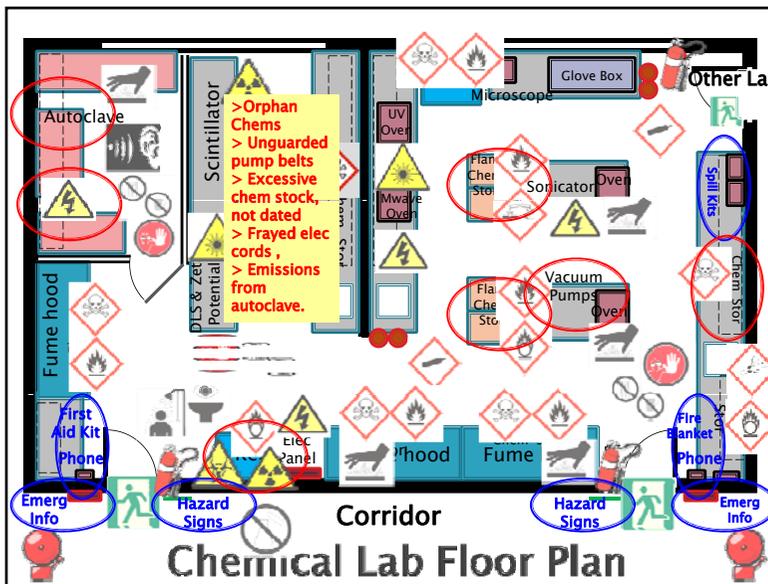
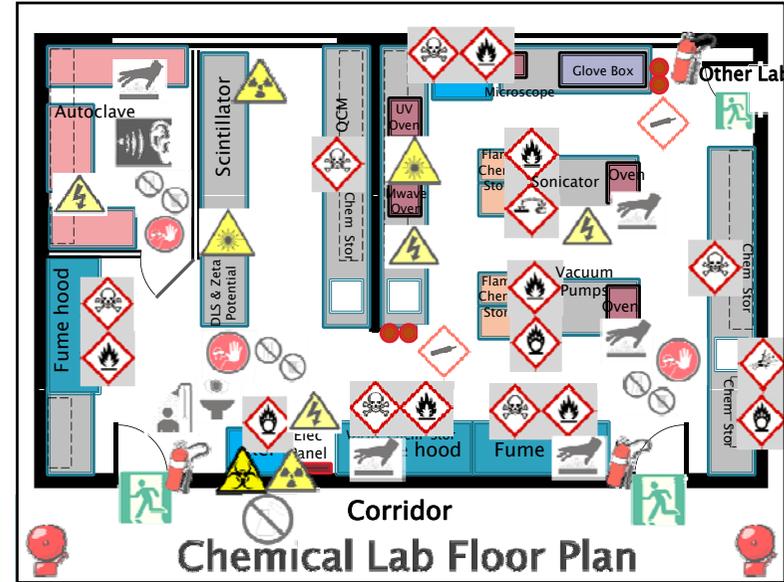
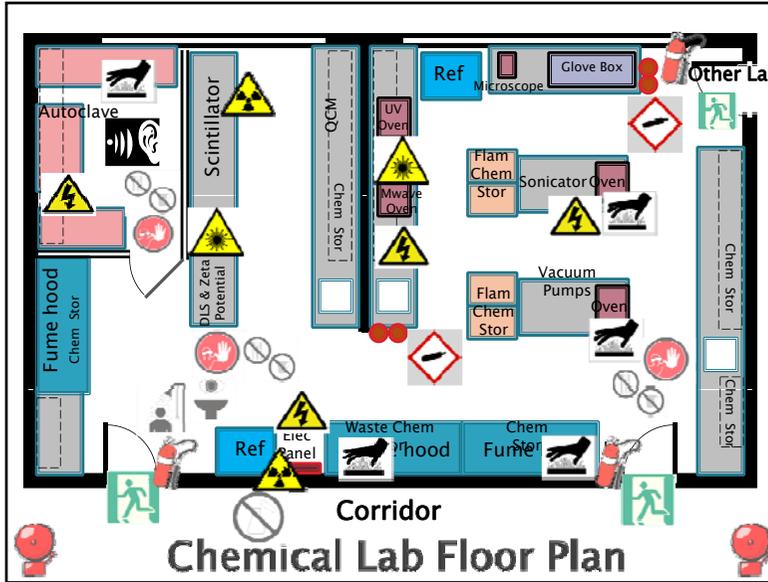



Lab Assessment Exercise

Introduction



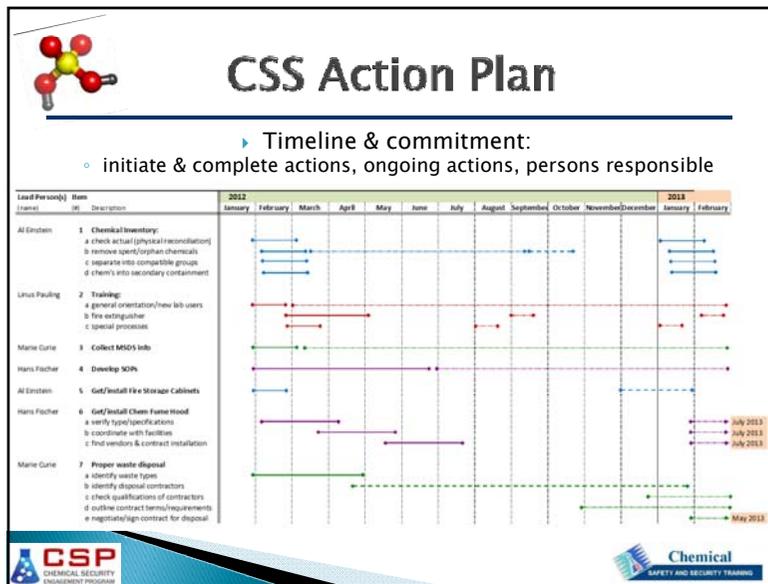




CSS Action Plan

- Prioritization:**
 - Easiest** → **Medium** → **Difficult**
 - inventory
 - check actual
 - remove spent chems
 - separate groups
 - secondary containment
 - training
 - collect MSDS info
 - fire storage cabinets
 - develop SOPs
 - new fume hood
 - proper waste disposal
- CSS leadership team:**
 - You, fellow labmate? fellow professor? EHS specialist? department director? others?
- Timeline & commitment:**
 - initiate actions, complete actions, ongoing actions, persons responsible

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Chemical SAFETY AND SECURITY TRAINING



Questions? Open Discussion

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